

## A generalization of Pardue's formula

by  
MIRCEA CIMPOEAȘ

### Abstract

In this paper, we introduce a new class of monomial ideals, called  $\mathbf{d}$ -fixed ideals, which generalize the class of  $p$ -Borel ideals and show how some results for  $p$ -Borel ideals can be transferred to this new class. In particular, we give the form of a principal  $\mathbf{d}$ -fixed ideal and we compute the socle of factors of this ideals, using methods similar as in [3]. This allowed us to give a generalization of Pardue's formula, i.e. a formula of the regularity for a principal  $\mathbf{d}$ -fixed ideal.

**Key Words:**  $p$ -Borel ideals, Betti numbers, Mumford-Castelnuovo regularity.

**2000 Mathematics Subject Classification:** Primary 13P10, Secondary 13D25, 13D02, 13H10.

### Introduction

A  $p$ -Borel ideal is a monomial ideal which satisfy certain combinatorial condition, where  $p > 0$  is a prime number. It is well known that any positive integer  $a$  has an unique  $p$ -adic decomposition  $a = \sum_{i \geq 0} a_i p^i$ . If  $a, b$  are two positive integers, we write  $a \leq_p b$  iff  $a_i \leq b_i$  for any  $i$ , where  $a = \sum_{i \geq 0} a_i p^i$  and  $b = \sum_{i \geq 0} b_i p^i$ . We say that a monomial ideal  $I \subset S = k[x_1, \dots, x_n]$  is  $p$ -Borel if for any monomial  $u \in I$  and for any indices  $j < i$ , if  $t \leq_p \nu_i(u)$  then  $x_j^t u / x_i^t \in I$ , where  $\nu_i(u) = \max\{k : x_i^k | u\}$ .

This definition suggest a natural generalization. The idea is to consider a strictly increasing sequence of positive integers  $\mathbf{d} : 1 = d_0 | d_1 | \dots | d_s$ , which we called a  $\mathbf{d}$ -sequence. Lemma 1.1 states that for any positive integer  $a$ , there exists an unique decomposition  $a = \sum_{i=0}^s a_i d_i$ . If  $a, b$  are two positive integers, we write  $a \leq_{\mathbf{d}} b$  iff  $a_i \leq b_i$  for any  $i$ , where  $a = \sum_{i \geq 0} a_i d_i$  and  $b = \sum_{i \geq 0} b_i d_i$ . We say that a monomial ideal  $I$  is  $\mathbf{d}$ -fixed if for any monomial  $u \in I$  and for any indices  $j < i$ , if  $t \leq_{\mathbf{d}} \nu_i(u)$  then  $x_j^t u / x_i^t \in I$ . Obvious, the  $p$ -Borel ideals are a special case of  $\mathbf{d}$ -fixed ideals for  $\mathbf{d} : 1 | p | p^2 | \dots$ .

A principal  $\mathbf{d}$ -fixed ideal, is the smallest  $\mathbf{d}$ -fixed ideal which contain a given monomial. 1.6 and 1.8 gives the explicit form of a principal  $\mathbf{d}$ -fixed ideal. In the second section we compute the socle of factors for a principal  $\mathbf{d}$ -fixed ideal (2.1 and 2.4). The proofs are similar as in [3] but we consider that is necessary to present them in this context. In the third section we give a formula (3.1) for the regularity of a  $\mathbf{d}$ -fixed ideal, which generalize the Pardue's formula for the regularity of principal  $p$ -Borel ideals, proved by Aramova-Herzog [1] and Herzog-Popescu [4]. Using a theorem of Popescu [6] we compute the extremal Betti numbers of  $S/I$  (3.3). Also, we show that if  $I$  is a principal  $\mathbf{d}$ -fixed ideal generated by the power of a variable, then  $I_{\geq e}$  is stable for any  $e \geq \text{reg}(I)$  (3.6), so  $\text{reg}(I) = \min\{e \geq \deg(I) : I_{\geq e} \text{ is stable}\}$  (3.9). Thus a result of Eisenbud-Reeves-Totaro [2, Proposition 12] holds also in this frame.

The author wish to thanks to his Ph.D.advisor, Professor Dorin Popescu, for support, encouragement and valubles observations on the contents of this paper.

## 1 $\mathbf{d}$ -fixed ideals.

In the following  $\mathbf{d} : 1 = d_0 | d_1 | \cdots | d_s$  is a strictly increasing sequence of positive integers. We say that  $\mathbf{d}$  is a  $\mathbf{d}$ -sequence.

**Lemma 1.1.** *Let  $\mathbf{d}$  be a  $\mathbf{d}$ -sequence. Then, for any  $a \in \mathbb{N}$ , there exists an unique sequence of positive integers  $a_0, a_1, \dots, a_s$  such that:*

1.  $a = \sum_{t=0}^s a_t d_t$  and
2.  $0 \leq a_t < \frac{d_{t+1}}{d_t}$ , for any  $0 \leq t < s$ .

*Conversely, if  $\mathbf{d} : 1 = d_0 < d_1 < \cdots < d_s$  is a sequence of positive integers such that for any  $a \in \mathbb{N}$  there exists an unique sequence of positive integers  $a_0, a_1, \dots, a_s$  as before, then  $\mathbf{d}$  is a  $\mathbf{d}$ -sequence.*

**Proof:** Let  $a_s$  be the quotient of  $a$  divided by  $d_s$ . For  $0 \leq t < s$  let  $a_t$  be the quotient of  $(a - q_{t+1})$  divided by  $d_t$ , where  $q_{t+1} = \sum_{j=t+1}^s a_j d_j$ . We will prove that  $a_0, a_1, \dots, a_s$  fulfill the required conditions. Indeed, it is obvious that  $a = \sum_{t=0}^s a_t d_t$ . On the other hand,  $a - q_{t+1} < d_{t+1}$ , since  $a - q_{t+1}$  is  $a - q_{t+2}$  modulo  $d_{t+1}$ . Therefore, since  $a_t$  is the quotient of  $(a - q_{t+1})$  divided by  $d_t$ , it follows that  $a_t < \frac{d_{t+1}}{d_t}$ .

Suppose there exists another decomposition  $a = \sum_{j=0}^s b_j d_j$  which also fulfill the conditions 1 and 2. Then, we may assume that there exists an integer  $0 \leq t \leq s$  such that  $b_s = a_s, \dots, b_{t+1} = a_{t+1}$  and  $b_t > a_t$ . Notice that  $d_t > \sum_{j=0}^{t-1} a_j d_j$ . Indeed,

$$\sum_{j=0}^{t-1} a_j d_j \leq \sum_{j=0}^{t-1} \left( \frac{d_{j+1}}{d_j} - 1 \right) d_j = (d_1 - d_0) + (d_2 - d_1) + \cdots + (d_t - d_{t-1}) = d_t - 1 < d_t.$$

We have  $0 = \sum_{j=0}^s (b_j - a_j)d_j = \sum_{j=0}^t (b_j - a_j)d_j$ , but on the other hand:

$$(b_t - a_t)d_t \geq d_t > \sum_{j=0}^{t-1} a_j d_j \geq \sum_{j=0}^{t-1} (a_j - b_j)d_j$$

and therefore  $(b_t - a_t)d_t - \sum_{j=0}^{t-1} (a_j - b_j)d_j = \sum_{j=0}^t (b_j - a_j)d_j > 0$ , which is a contradiction.

For the converse, we use induction on  $0 \leq t < s$ , the assertion being obvious for  $t = 0$ . Suppose  $t > 0$  and  $d_0 | d_1 | \cdots | d_t$  and consider the decomposition of  $d_{t+1} - 1$ . Since  $d_{t+1} - 1 < d_{t+1}$ , it follows that  $d_{t+1} - 1 = \sum_{j=0}^t a_j d_j$ . On the other hand, since  $d_{t+1} - 1$  is the largest integer less than  $d_{t+1}$ , each  $a_j$  is maximal between the integers  $< d_{j+1}/d_j$ , for  $j < t$ . Therefore  $a_j = d_{j+1}/d_j - 1$  for  $0 \leq j < t$ . Thus:

$$\begin{aligned} d_{t+1} &= 1 + d_{t+1} - 1 = 1 + a_0 d_0 + a_1 d_1 + \cdots + a_t d_t = d_1 + a_1 d_1 + a_2 d_2 + \cdots + a_t d_t = \\ &= d_2 + a_2 d_2 + \cdots + a_t d_t = \cdots = (a_t + 1)d_t, \text{ so } d_t | d_{t+1}. \end{aligned}$$

□

**Definition 1.2.** Let  $a, b$  be two positive integers and consider the  $\mathbf{d}$ -decompositions  $a = \sum_{j=0}^s a_j d_j$  and  $b = \sum_{j=0}^s b_j d_j$ . We say that  $a \leq_{\mathbf{d}} b$  if  $a_i \leq b_i$  for any  $0 \leq i \leq s$ .

**Lemma 1.3.** Let  $a, b$  be two positive integers with  $a \leq_{\mathbf{d}} b$ . Suppose  $b = b' + b''$ , where  $b'$  and  $b''$  are positive integers. Then, there exists some positive integers  $a' \leq_{\mathbf{d}} b'$  and  $a'' \leq_{\mathbf{d}} b''$  such that  $a = a' + a''$ .

**Proof:** Let  $a = \sum_{t=0}^s a_t d_t$ ,  $b = \sum_{t=0}^s b_t d_t$ ,  $b' = \sum_{t=0}^s b'_t d_t$ ,  $b'' = \sum_{t=0}^s b''_t d_t$ . The hypothesis implies  $a_t \leq b_t < d_{t+1}/d_t$  and  $b'_t, b''_t < d_{t+1}/d_t$  for any  $0 \leq t < s$ . We construct the sequences  $a'_t, a''_t$  using decreasing induction on  $t$ . Suppose we have already defined  $a'_j, a''_j$  for  $j > t$  such that  $\sum_{i=j}^s (a'_i + a''_i)d_i = \sum_{i=j}^s a_i d_i$  and  $b_{t+1} = b'_{t+1} + b''_{t+1}$ . This is obvious for  $t = s$ .

We consider two cases. If  $b_t = b'_t + b''_t$ , then we choose  $a'_t \leq b'_t$  and  $a''_t \leq b''_t$  such that  $a'_t + a''_t = a_t$ . We can do this, because  $a_t \leq b_t$ . Also, it is obvious from the induction hypothesis that  $\sum_{i=t}^s (a'_i + a''_i)d_i = \sum_{i=t}^s a_i d_i$ , so we can pass from  $t$  to  $t - 1$ .

If  $b_t \neq b'_t + b''_t$  we claim that  $b'_t + b''_t = b_t - 1$ . Indeed,  $\sum_{j=0}^{t-1} (b'_j + b''_j)d_j < 2d_t$  and therefore it is impossible to have  $b'_t + b''_t \leq b_t - 2$ , otherwise  $\sum_{j=0}^t (b'_j + b''_j)d_j < b_t d_t$  and we contradict the equality  $b = b' + b''$ . Also, since  $b'_{t+1} + b''_{t+1} = b_{t+1}$ , we cannot have  $b'_t + b''_t > b_t$ . Similarly we get  $b'_{t-1} + b''_{t-1} > b_{t-1}$ . By recurrence, we conclude that there exists an integer  $u < t$  such that:  $b'_{u-1} + b''_{u-1} = b_{u-1}$ ,  $b'_u + b''_u = b_u + d_{u+1}/d_u$ ,  $b'_{u+1} + b''_{u+1} = b_{u+1} + d_{u+2}/d_{u+1} - 1$ , ...,  $b'_{t-1} + b''_{t-1} = b_{t-1} + d_t/d_{t-1} - 1$ .

If  $a_j = b_j$  for any  $j \in \{u, \dots, t\}$ , we simply choose  $a'_j = b'_j$  and  $a''_j = b''_j$  for any  $j \in \{u, \dots, t\}$  and the required conditions are fulfilled, so we can pass from  $t$  to  $u - 1$ . If this is not the case, then there exists an integer  $q \leq t$  such that  $a_t = b_t, \dots, a_{q+1} = b_{q+1}$  and  $a_q < b_q$ . If  $q = t$  then for any  $j \in \{u, \dots, t\}$  we can choose  $a'_j \leq b'_j$  and  $a''_j \leq b''_j$  such that  $a'_j + a''_j = a_j$ . For  $j < t$  the previous assertion is obvious because  $b'_j + b''_j \geq b_j$ , and for  $j = t$ , since  $a_t < b_t$  we have in fact  $a_t \leq b'_t + b''_t = b_t - 1$  and therefore we can choose again  $a'_t$  and  $a''_t$ . The conditions are satisfied so we can pass from  $t$  to  $u - 1$ .

Suppose  $q < t$ . For  $j \in \{u, \dots, q-1\}$  we choose  $a'_j \leq b'_j$  and  $a''_j \leq b''_j$  such that  $a'_j + a''_j = a_j$ . We can do this because  $b'_j + b''_j \geq b_j \geq a_j$ . We choose  $a'_q$  and  $a''_q$  such that  $a'_q + a''_q = a_q + d_{q+1}/d_q$ . We can make this choice, because  $a_q \leq b_q - 1$  and  $b'_q + b''_q \geq b_q + d_{q+1}/d_q - 1$ . For  $j > q$ , we simply put  $a'_j = b'_j$  and  $a''_j = b''_j$ . To pass from  $t$  to  $u - 1$  is enough to see that  $\sum_{j=u}^t a_j d_j = \sum_{j=u}^t (a'_j + a''_j) d_j$ . Indeed,

$$\begin{aligned} \sum_{j=u}^t (a'_j + a''_j) d_j &= \sum_{j=u}^{q-1} (a'_j + a''_j) d_j + (a'_q + a''_q) d_q + \sum_{j=q+1}^t (a'_j + a''_j) d_j = \\ &= \sum_{j=u}^{q-1} a_j d_j + (a_q + d_{q+1}/d_q) d_q + \sum_{j=q+1}^{t-1} (a_j + d_{j+1}/d_j - 1) d_j + (a_t - 1) d_t = \\ &= \sum_{j=u}^t a_j d_j + d_{q+1} + \sum_{j=q+1}^{t-1} (d_{j+1} - d_j) - d_t = \sum_{j=u}^t a_j d_j, \end{aligned}$$

The induction ends when  $t = -1$ . Finally, we obtain  $a'$  and  $a''$  such that  $a' + a'' = a$ ,  $a'_t \leq b'_t$  and  $a''_t \leq b''_t$ , as required.  $\square$

**Definition 1.4.** We say that a monomial ideal  $I \subset S = k[x_1, \dots, x_n]$  is  $\mathbf{d}$ -fixed, if for any monomial  $u \in I$  and for any indices  $1 \leq j < i \leq n$ , if  $t \leq_{\mathbf{d}} \nu_i(u)$  (where  $\nu_i(u)$  denotes the exponent of the variable  $x_i$  in  $u$ ) then  $u \cdot x_j^t / x_i^t \in I$ .

Notice that if  $\mathbf{d} : 1|p|p^2|p^3| \dots$  then  $I$  is a  $p$ -Borel ideal.

**Definition 1.5.** A  $\mathbf{d}$ -fixed ideal  $I$  is called principal if it is generated, as a  $\mathbf{d}$ -fixed ideal by one monomial  $u$ , i.e.  $I$  is the smallest  $\mathbf{d}$ -fixed ideal which contain  $u$ . We write  $I = \langle u \rangle_{\mathbf{d}}$ . More generally, if  $u_1, \dots, u_r \in S$  are monomials, the  $\mathbf{d}$ -fixed ideal generated by  $u_1, \dots, u_r$  is the smallest  $\mathbf{d}$ -fixed ideal  $I$  which contains  $u_1, \dots, u_r$ . We write  $I = \langle u_1, \dots, u_r \rangle_{\mathbf{d}}$ .

Our next goal is to describe the principal  $\mathbf{d}$ -fixed ideals. The easiest case is when we have a  $\mathbf{d}$ -fixed ideal generated by the power of a variable. Denote  $\mathbf{m} = (x_1, \dots, x_n)$  and  $\mathbf{m}^{[d]} = (x_1^d, \dots, x_n^d)$  for some nonnegative integer  $d$ . We have the following proposition.

**Proposition 1.6.** If  $u = x_n^\alpha$ , then  $I = \langle u \rangle_{\mathbf{d}} = \prod_{t=0}^s (\mathbf{m}^{[d_t]})^{\alpha_t}$ , where  $\alpha = \sum_{t=0}^s \alpha_t d_t$ .

**Proof:** Let  $I' = \prod_{t=0}^s (\mathbf{m}^{[d_t]})^{\alpha_t}$ . The minimal generators of  $I'$  are monomials of the type  $w = \prod_{t=0}^s \prod_{j=1}^n x_j^{\lambda_{tj} \cdot d_t}$ , where  $0 \leq \lambda_{tj}$  and  $\sum_{j=1}^n \lambda_{tj} = \alpha_t$ . First, let us show that  $I' \subset I$ . In order to do this, we choose  $w$  a minimal generator of  $I'$  (the one below). We write  $x_n^\alpha$  like this:  $x_n^\alpha = x_n^{\alpha_0 d_0 + \alpha_1 d_1 + \dots + \alpha_s d_s} = x_n^{\alpha_0 d_0} \cdot x_n^{\alpha_1 d_1} \dots x_n^{\alpha_s d_s}$ . Since  $\lambda_{01} d_0 \leq_{\mathbf{d}} \alpha_0 d_0 + \alpha_1 d_1 + \dots + \alpha_s d_s$  and  $I$  is  $\mathbf{d}$ -fixed it follows that  $x_1^{\lambda_{01} d_0} x_n^{\alpha - \lambda_{01} d_0} \in I$ . Also,  $\lambda_{02} d_0 < \alpha - \lambda_{01} d_0 = (\alpha_0 - \lambda_{01}) d_0 + \alpha_1 d_1 + \dots + \alpha_s d_s$ , and since  $I$  is  $\mathbf{d}$ -fixed it follows that  $x_1^{\lambda_{01} d_0} x_2^{\lambda_{02} d_0} x_n^{\alpha - \lambda_{01} d_0 - \lambda_{02} d_0} \in I$ . Using iteratively this argument, one can easily see that  $x_1^{\lambda_{01} d_0} \dots x_n^{\lambda_{0n} d_0} x_n^{\alpha - \alpha_0 d_0} \in I$ . Also  $\alpha - \alpha_0 d_0 = \alpha_1 d_1 + \dots + \alpha_n d_n$ . Again, using an inductive argument, we get:

$$(x_1^{\lambda_{01} d_0} \dots x_n^{\lambda_{0n} d_0}) \cdot (x_1^{\lambda_{11} d_1} \dots x_n^{\lambda_{1n} d_1}) \dots (x_1^{\lambda_{s1} d_s} \dots x_n^{\lambda_{sn} d_s}) = w \in I.$$

For the converse, i.e.  $I \subset I'$ , is enough to verify that  $I'$  is  $\mathbf{d}$ -fixed. In order to do this, is enough to prove that the minimal generators of  $I'$  fulfill the definition of a  $\mathbf{d}$ -fixed ideal. Let  $w$  be a minimal generator of  $I'$ . Let  $2 \leq i \leq n$ . Then  $\nu_i(w) = \sum_{t=0}^s \lambda_{ti} d_t$ . If  $\beta \leq_{\mathbf{d}} \nu_i(w)$  then  $\beta = \sum_{t=0}^s \beta_t d_t$  with  $\beta_t \leq \lambda_{ti}$ . Let  $1 \leq k < i$ . We have

$$w \cdot x_k^\beta / x_i^\beta = \prod_{t=0}^s \left( \prod_{j \neq i, k} x_j^{\lambda_{tj} d_t} \right) \cdot x_i^{(\lambda_{ti} - \beta_t) d_t} \cdot x_k^{(\lambda_{tk} + \beta_t) d_t}.$$

Thus  $w \cdot x_k^\beta / x_i^\beta \in I'$  and therefore  $I'$  is  $\mathbf{d}$ -fixed. Since  $I$  is the smallest  $\mathbf{d}$ -fixed ideal which contains  $x_n^\alpha$  it follows that  $I \subset I'$ .  $\square$

**Proposition 1.7.** *If  $\alpha \leq \beta$  then  $\langle x_n^\beta \rangle_{\mathbf{d}} \subseteq \langle x_n^\alpha \rangle_{\mathbf{d}}$ .*

**Proof:** The case  $\alpha = \beta$  is obvious, so we may assume  $\alpha < \beta$ . We denote  $I = \langle x_n^\alpha \rangle_{\mathbf{d}}$  and  $I' = \langle x_n^\beta \rangle_{\mathbf{d}}$ . We write  $\alpha = \sum_{t=0}^s \alpha_t d_t$  and  $\beta = \sum_{t=0}^s \beta_t d_t$ . If  $w$  is a minimal generator of  $I'$  then  $w = \prod_{t=0}^s \prod_{i=1}^n x_i^{\lambda_{ti} d_t}$ , where  $0 \leq \lambda_{ti}$  and  $\sum_{i=1}^n \lambda_{ti} = \beta_t$ . We claim that  $w \in I$  and therefore  $I' \subset I$  as required.

Since  $\alpha < \beta$  there exists  $t \in \{0, \dots, s\}$  such that  $\alpha_s = \beta_s, \dots, \alpha_{t+1} = \beta_{t+1}$  and  $\alpha_t < \beta_t$ . We may assume  $\lambda_{t1} > 0$ . We have

$$w = \prod_{j=0}^s \prod_{i=1}^n x_i^{\lambda_{ji} d_j} = \prod_{j=0}^{t-1} x_1^{\alpha_j d_j} x_1^{(\lambda_{t1} - 1) d_t} x_1^{d_t - \sum_{j=0}^{t-1} \alpha_j d_j} \prod_{i=2}^n x_i^{\lambda_{ti} d_t} \prod_{j>t}^s \prod_{i=1}^n x_i^{\lambda_{ji} d_j}$$

and now it is obvious that  $w \in I$ .  $\square$

We have the general description of a principal  $\mathbf{d}$ -fixed ideal given by the following proposition. In the proof, we will apply Lemma 1.3.

**Proposition 1.8.** *Let  $1 \leq i_1 < i_2 < \dots < i_r = n$  and let  $\alpha_1, \dots, \alpha_r$  be some positive integers. If  $u = x_{i_1}^{\alpha_1} x_{i_2}^{\alpha_2} \dots x_{i_r}^{\alpha_r}$  then:*

$$I = \langle u \rangle_{\mathbf{d}} = \langle x_{i_1}^{\alpha_1} \rangle_{\mathbf{d}} \cdot \langle x_{i_2}^{\alpha_2} \rangle_{\mathbf{d}} \cdots \langle x_{i_r}^{\alpha_r} \rangle_{\mathbf{d}} = \prod_{q=1}^r \prod_{t=0}^s (\mathbf{m}_q^{[d_t]})^{\alpha_{qt}},$$

where  $\mathbf{m}_q = (x_1, x_2, \dots, x_{i_q})$  and  $\alpha_q = \sum_{t=0}^s \alpha_{qt} d_t$ .

**Proof:** Let  $I' = \prod_{q=1}^r \prod_{t=0}^s (\mathbf{m}_q^{[d_t]})^{\alpha_{qt}}$ . The minimal generators of  $I'$  are monomials of the type  $w = \prod_{q=1}^r \prod_{t=0}^s \prod_{j=1}^{i_q} x_j^{\lambda_{qtj} \cdot d_t}$ , where  $0 \leq \lambda_{qtj}$  and  $\sum_{j=1}^{i_q} \lambda_{qtj} = \alpha_{qt}$ . First, we show that  $I' \subset I$ . In order to do this, it is enough to prove that by iterative transformations we can modify  $u$  such that we obtain  $w$ .

The idea of this transformations is the same as in the proof of 1.6. Without given all the details, one can see that if we rewrite  $u$  as

$$(x_{i_1}^{\alpha_{10} d_0} x_{i_1}^{\alpha_{11} d_1} \dots x_{i_1}^{\alpha_{1s} d_s}) \dots (x_{i_r}^{\alpha_{r0} d_0} x_{i_r}^{\alpha_{r1} d_1} \dots x_{i_r}^{\alpha_{rs} d_s}),$$

where  $\alpha_q = \sum_{t=0}^s \alpha_{qt} d_t$ , we can pass to  $w$ , using the transformations

$$\begin{aligned} x_{i_1}^{\alpha_{10} d_0} &\mapsto \prod_{j=1}^{i_1} x_j^{\lambda_{10j} d_0}, \dots, x_{i_1}^{\alpha_{1s} d_s} \mapsto \prod_{j=1}^{i_1} x_j^{\lambda_{1sj} d_s}, \dots, x_{i_r}^{\alpha_{r0} d_0} \mapsto \\ &\mapsto \prod_{j=1}^{i_r} x_j^{\lambda_{r0j} d_0}, \dots, x_{i_r}^{\alpha_{rs} d_s} \mapsto \prod_{j=1}^{i_r} x_j^{\lambda_{rsj} d_s}. \end{aligned}$$

Therefore  $w \in I$ , and thus  $I' \subset I$ . For the converse, it is enough to see that  $I'$  is a  $\mathbf{d}$ -fixed ideal. Let  $w$  be a minimal generator of  $I'$ . We choose an index  $2 \leq i \leq n$ . Then  $\nu_i(w) = \sum_{q=1}^r \sum_{t=0}^s \lambda_{qti} d_t$ . Let  $\beta \leq \nu_i(w)$ . Using Lemma 3.1, we can choose some positive integers  $\beta_1, \dots, \beta_r$  such that:

$$(a) \beta = \sum_{q=1, i_q \geq i}^r \beta_q \text{ and } (b) \beta_q \leq_{\mathbf{d}} \sum_{t=0}^s \lambda_{qti} d_t,$$

i.e.  $\beta_{qt} \leq \lambda_{qti}$ , where  $\beta_q = \sum_{t=0}^s \beta_{qt} d_t$ . Let  $k < i$ . Then,

$$w \cdot x_k^\beta / x_i^\beta = \prod_{q=1}^r \prod_{t=0}^s \left( \prod_{j=1, j \neq k, i}^{i_q} x_j^{\lambda_{qtj} \cdot d_t} \right) x_i^{(\lambda_{qti} - \beta_{qt}) d_t} x_k^{(\lambda_{qtk} + \beta_{qt}) d_t}.$$

Now, it is easy to see that  $w \cdot x_k^\beta / x_i^\beta \in I'$ , and therefore  $I'$  is  $\mathbf{d}$ -fixed.  $\square$

**Example 1.9.** Let  $\mathbf{d} : 1|2|4|12$ .

1. Let  $u = x_3^{21}$ . We have  $21 = 1 \cdot 1 + 0 \cdot 2 + 2 \cdot 4 + 1 \cdot 12$ . From 1.6, we get:

$$\langle u \rangle_{\mathbf{d}} = (x_1, x_2, x_3)(x_1^4, x_2^4, x_3^4)^2(x_1^{12}, x_2^{12}, x_3^{12}).$$

2. Let  $u = x_1^2 x_2^9 x_3^{16}$ . We have  $9 = 1 \cdot 1 + 2 \cdot 4$  and  $16 = 1 \cdot 4 + 1 \cdot 12$ . From 1.8, we get

$$\begin{aligned} \langle u \rangle_{\mathbf{d}} &= x_1^2 \langle x_2^9 \rangle_{\mathbf{d}} \langle x_3^{16} \rangle_{\mathbf{d}} \\ &= x_1^2 (x_1, x_2)(x_1^4, x_2^4)^2 (x_1^4, x_2^4, x_3^4)(x_1^{12}, x_2^{12}, x_3^{12}). \end{aligned}$$

**Definition 1.10.** We say that a monomial ideal  $I \subset k[x_1, \dots, x_n]$  is a Borel type ideal if

$$I : x_j^\infty = I : (x_1, \dots, x_j)^\infty, \text{ for any } j = 1, \dots, n.$$

**Proposition 1.11.** Any  $\mathbf{d}$ -fixed ideal  $I$  is a Borel type ideal.

**Proof:** Indeed, [3, Proposition 2.2] says that an ideal  $I$  is of Borel type if and only if for any  $1 \leq j < i \leq n$ , there exists an positive integer  $t$  such that  $x_j^t(u/x_i^{\nu_i(u)}) \in I$ . Choosing  $t = \nu_i(u)$ , is easy to see that the definition of a  $\mathbf{d}$ -fixed ideal implies the condition above.  $\square$

**Definition 1.12.** Let  $S = k[x_1, \dots, x_n]$  and let  $M$  be a finitely generated graded  $S$ -module. The module  $M$  is sequentially Cohen-Macaulay if there exists a finite filtration  $0 = M_0 \subset M_1 \subset \dots \subset M_r = M$  of  $M$  by graded submodules of  $M$  such that:

- $M_i/M_{i-1}$  are Cohen-Macaulay for any  $i = 1, \dots, r$  and
- $\dim(M_1/M_0) < \dim(M_2/M_1) < \dots < \dim(M_r/M_{r-1})$ .

In particular, if  $I \subset S$  is a graded ideal then  $R = S/I$  is sequentially Cohen-Macaulay if there exists a chain of ideals  $I = I_0 \subset I_1 \subset \dots \subset I_r = S$  such that  $I_j/I_{j-1}$  are Cohen-Macaulay and  $\dim(I_j/I_{j-1}) < \dim(I_{j+1}/I_j)$  for any  $j = 1, \dots, r-1$ .

**Remark 1.13.** Let  $I \subset S$  be a monomial ideal. Recursively we define an ascending chain of monomial ideals as follows: We let  $I_0 := I$ . Suppose  $I_\ell$  is already defined. If  $I_\ell = S$  then the chain ends. Otherwise, let  $n_\ell = \max\{i : x_i|u \text{ for an } u \in G(I_\ell)\}$ . We set  $I_{\ell+1} := (I_\ell : x_{n_\ell}^\infty)$ . It is obvious that  $n_\ell > n_{\ell-1}$ , and therefore the chain  $I_0 \subset I_1 \subset \dots \subset I_r = S$  is finite and has length  $r \leq n$ . We call this chain of ideals, the sequential chain of  $I$ .

If  $I$  is a Borel type ideal, [3, Lemma 2.4] says that

$$I_{\ell+1} := I_\ell : (x_1, x_2, \dots, x_{n_\ell})^\infty.$$

From [3, Corollary 2.5], it follows that  $R = S/I$  is sequentially Cohen-Macaulay with the sequential chain  $I_0 \subset I_1 \subset \cdots \subset I_r = S$  defined above. Moreover  $I_{\ell+1}/I_\ell \cong J_\ell^{sat}/J_\ell[x_{n_\ell+1}, \dots, x_n]$ , where  $J_\ell = I_\ell \cap k[x_1, \dots, x_{n_\ell}]$  and  $J_\ell^{sat} = J_\ell : (x_1, \dots, x_{n_\ell})^\infty$ .

Let  $u = x_{i_1}^{\alpha_1} x_{i_2}^{\alpha_2} \cdots x_{i_r}^{\alpha_r}$  and  $I = \langle u \rangle_{\mathbf{d}} = \prod_{q=1}^r \prod_{t=0}^s (\mathbf{m}_q^{[d_t]})^{\alpha_{qt}}$ , where  $\mathbf{m}_q = (x_1, \dots, x_{i_q})$  and  $\alpha_q = \sum_{t=0}^s \alpha_{qt} d_t$ . Let  $I_{r-e} = \prod_{q=1}^e \prod_{t=0}^s (\mathbf{m}_q^{[d_t]})^{\alpha_{qt}}$ . Then  $I = I_0 \subset I_1 \subset \cdots \subset I_r = S$  is the sequential chain of  $I$ . Let  $n_\ell = i_{q_{r-\ell}}$ . Indeed, since  $x_{n_\ell}^{\alpha_{r-e}} I_{\ell+1} \subset I_\ell \Rightarrow I_{\ell+1} \subset (I_\ell : x_{n_\ell}^\infty)$ . For the converse, let  $w \in (I_\ell : x_{n_\ell}^\infty)$  be any minimal generator. Then there exists an integer  $b$  such that  $w \cdot x_{n_\ell}^b \in I_\ell$ . We may assume that  $w$  is a minimal generator of  $I_\ell$ . Then  $w \cdot x_{n_\ell}^b = w' \cdot y$  for a  $w' \in I_{\ell+1}$  and  $y \in \prod_{j=0}^t (\mathbf{m}_{r-\ell}^{[d_j]})^{\alpha_{r-\ell,j}}$  with  $x_{n_\ell}^b | y$ . Thus  $w' | w$ , and therefore  $w \in I_{\ell+1}$ .

Let  $S = k[x_1, \dots, x_n]$  and let  $M$  be a finitely graded generated  $S$ -module with the minimal graded free resolution  $0 \rightarrow F_s \rightarrow F_{s-1} \rightarrow \cdots \rightarrow F_0 \rightarrow M \rightarrow 0$ . Let  $Syz_t(M) = \text{Ker}(F_t \rightarrow F_{t-1})$ . The module  $M$  is called  $(r, t)$ -regular if  $Syz_t(M)$  is  $(r+t)$ -regular in the sense that all generators of  $F_j$  for  $t \leq j \leq s$  have degrees  $\leq j+r$ . The  $t$ -regularity of  $M$  is by definition  $(t-reg)(M) = \min\{r | M \text{ is } (r, t)\text{-regular}\}$ .

Obvious  $(t-reg)(M) \leq ((t-1)-reg)(M)$ . If the equality is strict and  $r = (t-reg)(M)$  then  $(r, t)$  is called a corner of  $M$  and  $\beta_{t,r+t}(M)$  is an extremal Betti number of  $M$ , where  $\beta_{ij} = \dim_k \text{Tor}_i(k, M)_j$  denotes the  $ij$ -th graded Betti number of  $M$ . Later, we will use the following result:

**Theorem 1.14.** [6, Theorem 3.2] *If  $I \subset S$  is a Borel type ideal, then  $S/I$  has at most  $r+1$ -corners among  $(n_\ell, s(J_\ell^{sat}/J_\ell))$  and the corresponding extremal Betti numbers are*

$$\beta_{n_\ell, s(J_\ell^{sat}/J_\ell) + n_\ell}(S/I) = \dim_k(J_\ell^{sat}/J_\ell)_{s(J_\ell^{sat}/J_\ell)}.$$

## 2 Socle of factors by principal $\mathbf{d}$ -fixed ideals.

In the following, we suppose  $n \geq 2$ .

**Lemma 2.1.** *Let  $\mathbf{d} : 1 = d_0 | d_1 | \cdots | d_s$ ,  $\alpha \in \mathbb{N}$  and  $I = \langle x_n^\alpha \rangle_{\mathbf{d}} = \prod_{t=0}^s (\mathbf{m}^{[d_t]})^{\alpha_t}$ . Let  $q_t = \sum_{j=t}^s \alpha_j d_j$ . Let*

$$J = \sum_{t=0, \alpha_t > 0}^s (x_1 \cdots x_n)^{d_t-1} (\mathbf{m}^{[d_t]})^{\alpha_t-1} \prod_{j>t} (\mathbf{m}^{[d_j]})^{\alpha_j}.$$

Then:

1.  $\text{Soc}(S/I) = \frac{J+I}{I}$
2. Let  $e$  be a positive integer. Then  $(\frac{J+I}{I})_e \neq 0 \Leftrightarrow e = q_t + (n-1)(d_t-1) - 1$ , for some  $0 \leq t \leq s$  with  $\alpha_t > 0$ .



$$3. \max\{e | (\frac{J+I}{I})_e \neq 0\} = \alpha_s d_s + (n-1)(d_s-1) - 1.$$

**Proof:** 1. First we prove that  $\frac{J+I}{I} \subset \text{Soc}(S/I)$ . Since  $\text{Soc}(S/I) = (O :_{S/I} \mathbf{m})$ , it is enough to show that  $\mathbf{m}J \subset I$ .

We have  $J = \sum_{t=0}^s \sum_{\alpha_t > 0} J_t$ , where

$$J_t = (x_1 \cdots x_n)^{d_t-1} (\mathbf{m}^{[d_t]})^{\alpha_t-1} \prod_{j>t} (\mathbf{m}^{[d_j]})^{\alpha_j}.$$

It is enough to prove that  $x_i J_t \subset I$  for any  $i$  and any  $t$ . Suppose  $i = 1$ :

$$x_1 J_t = x_1^{d_t} (x_2 \cdots x_n)^{d_t-1} (\mathbf{m}^{[d_t]})^{\alpha_t-1} \prod_{j>t} (\mathbf{m}^{[d_j]})^{\alpha_j} \subset (x_2 \cdots x_n)^{d_t-1} \prod_{j>t} (\mathbf{m}^{[d_j]})^{\alpha_j}.$$

On the other hand,  $(x_2 \cdots x_n)^{d_t-1} \in \prod_{j<t} (\mathbf{m}^{[d_j]})^{\alpha_j}$ , because  $d_t-1 \geq \sum_{j<t} \alpha_j d_j$ . Thus  $x_1 J_t \subset I$ .

For the converse, we apply induction on  $\alpha$ . If  $\alpha = 1$  then  $s = 0$  and  $I = (x_1, \dots, x_n) = \mathbf{m}$ .  $J = (x_1, \dots, x_n)^{d_0-1} = S$ , and obvious  $\text{Soc}(S/I) = \text{Soc}(S/\mathbf{m}) = S/\mathbf{m}$ . Let us suppose that  $\alpha > 1$ . We prove that if  $w \in S \setminus I$  is a monomial such that  $\mathbf{m}w \subset I$ , then  $w \in J$ . Let  $t_e = \max\{t : x_e^{d_t-1} | w\}$ . Renumbering  $x_1, \dots, x_n$  which does not affect either  $I$  or  $J$ , we may suppose that  $t_1 \geq t_2 \geq \dots \geq t_n$ . We have two cases: (i)  $t_1 > t_n$  and (ii)  $t_1 = t_n$ . But first, let's make the following remark: (\*) If  $u = x_1^{\beta_1} \cdots x_n^{\beta_n} \in \prod_{j \geq t} \mathbf{m}^{d_j}$  and  $\beta_i < d_t$  for certain  $i$  then  $u/x_i^{\beta_i} \in \prod_{j \geq t} \mathbf{m}^{[d_j]}$  (the proof is similarly to [3, Lemma 3.5]).

In the case (i), there exists an index  $e$  such that  $t_e > t_{e+1} = \dots = t_n$ . Then we have  $w = (x_n \cdots x_{e+1})^{d_{t_n}-1} \cdot x_e^{d_{t_e}-1} \cdot y$ , for a monomial  $y \in S$ . We consider two cases (a)  $x_e$  does not divide  $y$  and (b)  $x_e$  divide  $y$ . (a) From  $x_n w = x_n^{d_{t_n}} \cdot (x_{n-1} \cdots x_{e+1})^{d_{t_n}-1} x_e^{d_{t_e}-1} \cdot y \in I$  we see that  $y \in \prod_{j \geq t_e} (\mathbf{m}^{[d_j]})^{\alpha_j}$ , by (\*). Therefore  $w \in I$ , because  $x_e^{d_{t_e}-1} \in \prod_{j < t_e} (\mathbf{m}^{[d_j]})^{\alpha_j}$ , which is a contradiction.

(b) In this case,  $w = (x_n \cdots x_{e+1})^{d_{t_n}-1} x_e^{d_{t_e}} y'$ , where  $y' = y/x_e$ . We claim that there exist  $\lambda \leq t_e$  such that  $\alpha_\lambda \neq 0$ . Indeed, if all  $\alpha_\lambda = 0$  for  $\lambda \leq t_e$ , then  $I = \prod_{j=t_e+1}^s (\mathbf{m}^{[d_j]})^{\alpha_j}$  and  $x_n w \in I$  implies  $y' \in I$  because of the maximality of  $t_n$  and (\*). It follows  $w \in I$ , which is false. Choose  $\lambda \leq t_e$  maximal possible with  $\alpha_\lambda \neq 0$ . Set  $w' = w/x_e^{d_\lambda}$ . Note that  $\mathbf{m}w \subset I$  implies

$$\mathbf{m}w \subset I' = (\mathbf{m}^{[d_{t_\lambda}]})^{\alpha_\lambda-1} \prod_{j \neq \lambda} (\mathbf{m}^{[d_{t_j}]})^{\alpha_j}.$$

It is obvious that  $x_q w' \in I'$  for  $q \neq e$ . Also, since  $x_e^{d_{t_e}+1}$  does not divide  $x_e w$  implies  $x_e w' \in I'$ . Choosing  $\alpha' = \alpha - d_\lambda$ , we get  $\alpha'_j = \alpha_j$  for  $j \neq \lambda$  and  $\alpha'_\lambda = \alpha_\lambda - 1$  and therefore we can apply our induction hypothesis for  $I'$  (because  $\alpha' < \alpha$ ) and for the ideal  $J'$  associated to  $I'$ , which has the form:

$$J' = \sum_{q=0, \alpha'_q \neq 0} (x_1 \cdots x_n)^{d_q-1} (\mathbf{m}^{[d_q]})^{\alpha'_q-1} \prod_{j>q} (\mathbf{m}^{[d_j]})^{\alpha'_j},$$

and so  $w = x_e^{d_\lambda} w' \in x_e^{d_\lambda} J' \subset J$ .

It remains to consider the case (ii) in which we have in fact  $t_1 = t_2 = \dots = t_n$ . If  $y = w/(x_1 \dots x_n)^{d_{t_n}-1} \in \mathbf{m}$ , then there exists  $e$  such that  $x_e | y$ , and we apply our induction hypothesis as in the case (b) above. Thus we may suppose  $y = 1$ , i.e.  $w = (x_1 \dots x_n)^{d_{t_n}-1}$ . Since  $\mathbf{m}w \subset I$ , we see that  $\alpha_j = 0$  for  $j > t_n$  and  $\alpha_{t_n} = 1$  (otherwise  $w \in I$ , which is absurd). Thus  $w \in J$ .

2. Let  $v = x_1^{q_1-1}(x_2 \dots x_n)^{d_t-1}$ . Then  $\deg(v) = q_t + (n-1)(d_t-1) - 1$ . But  $v \in J$  and  $v \notin I$ , therefore  $v \neq 0$  in  $\text{Soc}(S/I) = \frac{J+I}{I}$ .

3. Let  $e_t = q_t + (n-1)(d_t-1) - 1$  for  $0 \leq t \leq s$ . Let  $t < s$ . Then

$$\begin{aligned} e_{t+1} - e_t &= q_{t+1} - q_t + (n-1)(d_{t+1} - d_t) = \\ &= -\alpha_t d_t + (n-1)(d_{t+1} - d_t) \geq d_{t+1} - (\alpha_t + 1)d_t \geq 0, \text{ so} \\ \max\{e | ((J+I)/I)_e \neq 0\} &= e_s = \alpha_s d_s + (n-1)(d_s - 1) - 1. \end{aligned}$$

□

**Remark 2.2.** From the proof of the above lemma, we may easily conclude that for  $n \geq 3$ ,  $e_t = e_{t'}$  if and only if  $t = t'$ , and if  $n = 2$ , then  $e_t = e_{t'}$  ( $t < t'$ ) if and only if

$$\alpha_{t'-1} = d_{t'}/d_{t'-1}, \dots, \alpha_t = d_{t+1}/d_t.$$

**Corollary 2.3.** With the notations of previous lemma and remark, let  $0 \leq t \leq s$  be an integer such that  $\alpha_t \neq 0$ . Let  $h_t = \dim_K((I + J_t)/I)$ . Then:

1.  $G(J_t) \cap (I + J_{t'}) = 0$  for  $0 \leq t' \leq s$ ,  $t' \neq t$ .

2.  $h_t = \binom{n+\alpha_t-2}{n-1} \prod_{j>t} \binom{n+\alpha_j-1}{n-1}$ .

3.  $\dim_K(\text{Soc}(S/I)_e) = \begin{cases} h_q, & \text{if } n \geq 3 \text{ and } e = e_q \text{ for a } q \leq s \\ & \text{with } \alpha_q \neq 0. \\ \sum_q h_q, & \text{if } n = 2 \text{ and } q \in \{\epsilon | e = e_\epsilon \text{ for } \epsilon \leq s. \\ & \text{with } \alpha_\epsilon \neq 0\}. \\ 0, & \text{otherwise.} \end{cases}$

**Proof:** 1. First suppose  $t' < t$ . A minimal generator  $x^\beta = x_1^{\beta_1} \dots x_n^{\beta_n}$  of  $J_t$  has the form

$$(x_1 \dots x_n)^{d_t-1} \prod_{j \geq t} (x_1^{\lambda_{1j} d_j} \dots x_n^{\lambda_{nj} d_j}), \text{ where } \sum_{\nu=1}^n \lambda_{\nu j} = \begin{cases} \alpha_j, & \text{if } j > t, \\ \alpha_t - 1, & \text{if } j = t. \end{cases}$$

Thus,  $\beta_i = d_t - 1 + \sum_{j=t}^s \lambda_{ij} d_j$ . On the other hand,  $d_t - 1 = \sum_{j=0}^{t-1} (d_{j+1}/d_j - 1) d_j$ , so  $\beta_i$  has the writing  $\sum_{j=0}^s \beta_{ij} d_j$ , where  $\beta_{ij} = d_{j+1}/d_j - 1$  for  $j < t$  and  $\beta_{ij} = \lambda_{ij}$  for  $j \geq t$ .

Assume that  $x^\beta \in I + J_{t'}$  for a certain  $t' < t$ . Then there exists  $\gamma \in \mathbb{N}^n$  such that  $x^\gamma \in G(I)$  (or  $x^\gamma \in G(J_{t'})$ ) and  $x^\gamma | x^\beta$ , that is  $\gamma_i \leq \beta_i$  for all  $1 \leq i \leq n$ . Let  $\gamma_i = \sum_{j=0}^s \gamma_{ij} d_j$ , the  $\mathbf{d}$ -decomposition of  $\gamma_i$ . We notice that  $(\beta_{is}, \dots, \beta_{i0}) \geq (\gamma_{is}, \dots, \gamma_{i0})$  in the lexicographic order.

Note that all minimal generators  $x^\gamma$  of  $I$  have the same degree  $\alpha < e_t$  and  $\sum_{i=1}^n \gamma_{iq} = \alpha_q$  for each  $0 \leq q \leq s$ . Also all minimal generators  $x^\gamma$  of  $J_{t'}$  have the same degree  $e_{t'} < e_t$  and  $\sum_{i=1}^n \gamma_{iq} = \alpha_q$  for each  $t \leq q \leq s$ . It follows  $\deg(x^\beta) > \deg(x^\gamma)$  and so  $\beta_i > \gamma_i$  for some  $i$ . Choose a maximal  $q < s$  such that  $\beta_{iq} > \gamma_{iq}$  for some  $i$ . Thus  $\beta_{ij} = \gamma_{ij}$  for  $j > q$ . It follows  $\beta_{iq} \geq \gamma_{iq}$  since  $(\beta_{is}, \dots, \beta_{i0}) \geq_{lex} (\gamma_{is}, \dots, \gamma_{i0})$ . If  $q \leq t$  then we have

$$\alpha_q = \sum_{i=1}^n \gamma_{iq} < \sum_{i=1}^n \beta_{iq} = \sum_{i=1}^n \lambda_{iq} \leq \alpha_q,$$

which is not possible. It follows  $q < t$  and so  $\beta_{it} = \gamma_{it}$  for each  $i$ . But this is not possible because we get  $\alpha_t = \sum_{i=1}^n \gamma_{it} = \sum_{i=1}^n \lambda_{it} = \alpha_t - 1$ . Hence  $x^\beta \notin I + J_{t'}$ .

Suppose now  $t' > t$ . If  $e_{t'} > e_t$ , then  $G(J_t) \cap G(J_{t'}) = \emptyset$  by degree reason. Assume  $e_t = e_{t'}$ . It follows  $n = 2$  by the previous remark. If  $x_1^{\beta_1} x_2^{\beta_2} \in G(J_t) \cap J_{t'}$  we necessarily get  $x_1^{\beta_1} x_2^{\beta_2} \in G(J_t) \cap G(J_{t'})$  again by degree reason. But this is not possible since it implies that  $\alpha_{t'} - 1 = \beta_{1t'} + \beta_{2t'} = \alpha_{t'}$ .

2. and 3. follows from 1.  $\square$

**Theorem 2.4.** Let  $u = \prod_{q=1}^r x_{i_1}^{\alpha_q}$ , where  $2 \leq i_1 < i_2 < \dots < i_r \leq n$ . Let

$$I = \langle u \rangle_{\mathbf{d}} = \prod_{q=1}^r \prod_{j=0}^s (\mathbf{m}_q^{[d_j]})^{\alpha_{qj}},$$

where  $\alpha_q = \sum_{j=0}^s \alpha_{qj} d_j$ . Suppose  $i_r = n$ . Let  $1 \leq a \leq r$  be an integer and

$$P_a(I) := \{(\lambda, t) \in \mathbb{N}^a \times \mathbb{N}^a \mid 1 \leq \lambda_1 < \dots < \lambda_a = r, t_a > \dots > t_1, \alpha_{\lambda_\nu t_\nu} \neq 0,$$

$$\text{for } 1 \leq \nu \leq a\}.$$

Let  $J = \sum_{a=1}^r \sum_{(\lambda, t) \in P_a(I)} J_{(\lambda, t)}$ , where  $J_{(\lambda, t)}$  is the ideal

$$\begin{aligned} & \prod_{e=1}^a (x_{i_{\lambda_e}} \cdots x_{i_{\lambda_{e-1}+1}})^{d_{t_e}-1} \prod_{\nu=1}^a \mathbf{m}_{\lambda_\nu}^{[d_{t_\nu}+1]} \prod_{j>t_\nu} (\mathbf{m}_{\lambda_\nu}^{[d_j]})^{\alpha_{\lambda_\nu j}} (\mathbf{m}_{\lambda_\nu}^{[d_{t_\nu}]})^{\alpha_{\lambda_\nu, t_\nu}-1} \\ & \cdot \prod_{q=\lambda_\nu-1+1}^{\lambda_\nu-1} \prod_{j \geq t_\nu} (m_q^{[d_j]})^{\alpha_{qj}}, \end{aligned}$$

where we denote  $\mathbf{m}^{[d_{t_a+1}]} = S$ . Then  $\text{Soc}(S/I) = (J + I)/I$ .

**Proof:** The proof will be given by induction on  $r$ , the case  $r = 1$  being done in Lemma 2.1. Suppose that  $r > 1$ . For  $1 \leq q \leq r$ , let:  $I_q = \prod_{e=1}^q \prod_{j=0}^s (\mathbf{m}_e^{[d_j]})^{\alpha_{ej}}$  and  $S_q = k[x_1, x_2, \dots, x_{i_q}]$ . For  $t$  with  $\alpha_{rt} \neq 0$ , denote:

$$I^{(t)} = \mathbf{m}_{r-1}^{[d_t]} \prod_{j < t} (\mathbf{m}_{r-1}^{[d_j]})^{\alpha_{r-1,j}} I_{r-2}.$$

Let  $J^{(t)}$  be an ideal in  $S_{r-1}$  such that  $\text{Soc}(S_{r-1}/I^{(t)}) = (J^{(t)} + I^{(t)})/I^{(t)}$ . The induction step is given in the following lemma:

**Lemma 2.5.** *Suppose  $i_r = n$  and let*

$$J = \sum_{t=0, \alpha_{rt} \neq 0} (x_n \cdots x_{i_{r-1}+1})^{d_t-1} \prod_{j > t} (\mathbf{m}_r^{[d_j]})^{\alpha_{rj}} \prod_{j \geq t} (\mathbf{m}_{r-1}^{[d_j]})^{\alpha_{r-1,j}} (\mathbf{m}_r^{[d_t]})^{\alpha_{rt}-1} J^{(t)}.$$

*Then  $\text{Soc}(S/I) = (J + I)/I$ .*

**Proof:** Let  $w \in S \setminus I$  be a monomial such that  $\mathbf{m}_r w \subset I$ . As in the proof of lemma 2.1, we choose for each  $1 \leq \rho \leq n$ ,  $e_\rho = \max\{e : x_\rho^{d_e-1} | w\}$ . Renumbering variables  $\{x_n, \dots, x_{i_{r-1}+1}\}$  (it does not affect  $I$ ,  $J$  and  $I^{(t)}$ ), we may suppose  $e_n \leq e_{n-1} \leq \dots \leq e_{i_{r-1}+1}$ . Set  $t = e_n$ . We claim that  $\alpha_{rt} \neq 0$ . Indeed, if  $\alpha_{rt} = 0$  then from  $x_n w \in I$  we get  $x_n w / x_n^{d_t-1} \in \tilde{I} = \prod_{j > t} (\mathbf{m}_r^{[d_j]})^{\alpha_{rj}} I_{r-1}$  because  $x_n^{d_t-1} \in \prod_{j < t} (\mathbf{m}_r^{[d_j]})^{\alpha_{rj}}$ . Since  $t = e_n$  is maximal chosen, we get  $w / x_n^{d_t-1} \in \tilde{I}$  and so  $w \in I$  a contradiction.

Reduction to the case that  $x_n^{d_t}$  does not divide  $w$ . Suppose that  $w = x_n^{d_t} \tilde{w}$  and set

$$\tilde{I} = (\mathbf{m}_r^{[d_t]})^{\alpha_{rt}-1} \prod_{\epsilon \leq 0, \epsilon \neq t} (\mathbf{m}_r^{[d_\epsilon]})^{\alpha_{r\epsilon}} I_{r-1}.$$

We see that  $\mathbf{m} w \in I \Leftrightarrow \mathbf{m} \tilde{w} \in \tilde{I}$ . Replacing  $w$  and  $I$  with  $\tilde{w}$  and  $\tilde{I}$ , we reduce our problem to a new  $\tilde{t} < t$ . The above argument implies that  $\tilde{\alpha}_{r\tilde{t}} \neq 0$ , where  $\tilde{\alpha}$  is the 'new'  $\alpha$  of  $\tilde{I}$ .

Reduction to the case when  $\alpha_{rj} = \alpha_{r-1,j} = 0$  for  $j > t$ ,  $\alpha_{rt} = 1$  and  $\alpha_{r-1,t} = 0$ . From  $x_n w \in I$ , we see that there exists  $\rho < n$  such that  $x_\rho^{d_j} | w$  for  $j > t$  if  $\alpha_{rj} \neq 0$ , or  $j = t$  if  $\alpha_{rt} > 1$ . Choose such maximal possible  $\rho$ . Set  $w' = w / x_\rho^{d_j}$ ,

$$I' = (\mathbf{m}_r^{[d_j]})^{\alpha_{rj}-1} \prod_{\epsilon \geq 0, \epsilon \neq j} (\mathbf{m}_r^{[d_\epsilon]})^{\alpha_{r\epsilon}} I_{r-1}.$$

We see that  $\mathbf{m} w \subset I \Leftrightarrow \mathbf{m} w' \subset I'$ , because from  $x_n w \in I$ , we get  $x_n w' \in I'$  from the maximality of  $\rho$ .

Let  $\alpha'_{rj} = \alpha_{rj} - 1$  and  $\alpha'_{q\epsilon} = \alpha_{q\epsilon}$  for  $(q, \epsilon) \neq (r, j)$ .  $\alpha'$  is the 'new'  $\alpha$  for  $I'$ . If we show that

$$w' \in J' = \sum_{e \geq 0, \alpha'_{re} \neq 0} (x_n \cdots x_{i_{r-1}+1})^{d_e-1} \cdot \prod_{\epsilon > e} (\mathbf{m}_r^{[d_\epsilon]})^{\alpha_{r\epsilon}} \prod_{j \geq e} (\mathbf{m}_{r-1}^{[d_j]})^{\alpha_{r-1,j}} (\mathbf{m}_r^{[d_\epsilon]})^{\alpha_{r\epsilon}-1} J^{(t)},$$

then  $w = x_\rho^{d_j} w' \in \mathbf{m}_r^{[d_j]} J' \subset J$ . Using this procedure, by recurrence we arrive to the case  $\alpha_{rj} = 0$  for  $j > t$  and  $\alpha_{rt} = 1$ . Again from  $x_n w \in I$ , we note that there exists  $\rho < i_{r-1}$  such that  $x_\rho^{d_j} | w$  for  $j \geq t$  with  $\alpha_{r-1,j} \neq 0$ . Choose such maximal possible  $\rho$  and note that  $\mathbf{m}w \subset I$  if and only if  $\mathbf{m}w'' \in I''$  for  $w'' = w/x_\rho^{d_j}$ , where

$$I'' = (\mathbf{m}_{r-1}^{[d_j]})^{\alpha_{r-1,j-1}} \prod_{\epsilon \geq 0, \epsilon \neq j} (\mathbf{m}_{r-1}^{[d_\epsilon]})^{\alpha_{r-1,\epsilon}} \prod_{\epsilon \geq 0} (\mathbf{m}_r^{[d_\epsilon]})^{\alpha_{r\epsilon}} I_{r-2}.$$

As above, we reduce our problem to  $I''$  and the  $\alpha''$ , which is the new  $\alpha$  of  $I''$ , is given by  $\alpha''_{r-1,j} = \alpha_{r-1,j-1}$ ,  $\alpha''_{q\epsilon} = \alpha_{q\epsilon}$  for  $(q, \epsilon) \neq (r-1, j)$ . Using this procedure, by recurrence we end our reduction.

Case  $\alpha_{rj} = \alpha_{r-1,j} = 0$  for  $j > t$ ,  $\alpha_{rt} = 1$ ,  $\alpha_{r-1,t} = 0$  and  $x_n^{d_t}$  does not divide  $w$ . Let express  $w = (x_n \cdots x_{i_{r-1}+1})^{d_t-1} y$ . We will show that  $y$  does not depend on  $\{x_n, \dots, x_{i_{r-1}+1}\}$ . Indeed, if  $n = i_{r-1} + 1$  then there is nothing to show since  $x_n^{d_t}$  does not divide  $w$ . Suppose that  $n > i_{r-1} + 1$ , then from  $x_n w \in I$  we get  $y \in I_{r-1}$  because  $x_{n-1}^{d_t-1} \in \prod_{j < t} (\mathbf{m}_r^{[d_j]})^{\alpha_{rj}}$  and the variables  $x_n, \dots, x_{i_{r-1}+1}$  are regular on  $S/I_{r-1}S$ . If  $y = x_\eta y'$  for  $\eta > i_{r-1}$ , then as above  $y' \in I_{r-1}$ . Thus  $w \in x_\eta^{d_t} x_\rho^{d_t-1} y' \subset I$  for any  $\rho \neq \eta$ ,  $i_{r-1} < \rho \leq n$ , a contradiction.

Note that  $\mathbf{m}_r w \in I \Rightarrow \mathbf{m}_{r-1} y \in I^{(t)}$  and so  $w \in (x_n \cdots x_{i_{r-1}+1})^{d_t-1} J^{(t)}$ . Since  $\alpha_{rj} = \alpha_{r-1,j} = 0$  for  $j > t$  and  $\alpha_{rt} = 1$  and  $\alpha_{r-1,t} = 0$ , we get  $w \in J$ . Conversely, if  $y \in J^{(t)}$ , then it is clear that  $w \in J$ .  $\square$

We see by the above lemma that:

$$(*) J = \sum_{e \geq 0, \alpha_{re} \neq 0} (x_n \cdots x_{i_{r-1}+1})^{d_e-1} \prod_{j > e} (\mathbf{m}_{r-1}^{[d_j]})^{\alpha_{rj}} \prod_{j \geq e} (\mathbf{m}_{r-1}^{[d_j]})^{\alpha_{r-1,j}} (\mathbf{m}_r^{[d_e]})^{\alpha_{re}-1} J^{(e)}.$$

Since  $\lambda_a = r - 1$ , by the induction hypothesis applied to  $I^{(e)}$  we get:

$$J^{(e)} = \sum_{a=1}^{r-1} \left[ \sum_{(\lambda, t) \in P_a(I^{(e)}), t_a = e} \prod_{s=1}^a (x_{i_{\lambda_s}} \cdots x_{i_{\lambda_{s-1}+1}})^{d_{t_s}-1} \cdot J'_{(\lambda, t)} + \sum_{(\lambda, t) \in P_a(I^{(e)}), t_a < e} \prod_{s=1}^a (x_{i_{\lambda_s}} \cdots x_{i_{\lambda_{s-1}+1}})^{d_{t_s}-1} \cdot J''_{(\lambda, t)} \right], \text{ where}$$

$$J'_{(\lambda,t)} = \prod_{q=\lambda_{a-1}+1}^{\lambda_a-1} \prod_{j \geq e} (\mathbf{m}_q^{[d_j]})^{\alpha_{qj}} \tilde{J}_{(\lambda,t)} \text{ and}$$

$$J''_{(\lambda,t)} = \mathbf{m}_{r-1}^{[d_e]} \prod_{j > t_a}^{e-1} (\mathbf{m}_{\lambda_a}^{[d_j]})^{\alpha_{\lambda_a,j}} (\mathbf{m}_{\lambda_a}^{[d_{t_a}]} )^{\alpha_{\lambda_a,t_a-1}} \cdot \prod_{q=\lambda_{a-1}+1}^{\lambda_a-1} \prod_{j \geq t_a} (\mathbf{m}_q^{[d_j]})^{\alpha_{qj}} \tilde{J}_{(\lambda,t)}, \text{ and}$$

$$\tilde{J}_{(\lambda,t)} = \prod_{\nu=1}^{a-1} \mathbf{m}_{\lambda_\nu}^{[d_{t_\nu+1}]} \prod_{j > t_\nu} (\mathbf{m}_{\lambda_\nu}^{[d_j]})^{\alpha_{\lambda_\nu,j}} (\mathbf{m}_{\lambda_\nu}^{[d_{t_\nu}]} )^{\alpha_{\lambda_\nu,t_\nu-1}} \cdot \prod_{q=\lambda_{\nu-1}+1}^{\lambda_\nu-1} \prod_{j \geq t_\nu} (\mathbf{m}_q^{[d_j]})^{\alpha_{qj}}.$$

If  $t_a = e$ , set  $\lambda'_\nu = \lambda_\nu$  for  $\nu < a$ ,  $\lambda'_a = r$  and see that  $(\lambda', t) \in P_a(I)$ . If  $t_a < e$ , then put  $\lambda''_\nu = \lambda_\nu$  for  $\nu \leq a$ ,  $\lambda''_{a+1} = r$ ,  $t''_\nu = t_\nu$  for  $\nu \leq a$  and  $t''_{a+1} = e$  and then  $(\lambda'', t) \in P_{a+1}(I)$ . Substituting  $J^{(e)}$  in  $(*)$ , we get the following expression for  $J$ :

$$\begin{aligned} & \sum_{a=1}^{r-1} \sum_{(\lambda', t) \in P_a(I)} \prod_{\nu=1}^a (x_{i_{\lambda'_\nu}} \cdots x_{i_{\lambda'_{\nu-1}+1}})^{d_{t_\nu}-1} \cdot [\prod_{j > e} (\mathbf{m}_{\lambda'_a}^{[d_j]})^{\alpha_{\lambda'_a,j}} (\mathbf{m}_{\lambda'_a}^{[d_e]})^{\alpha_{\lambda'_a,e}-1} \\ & \cdot \prod_{q=\lambda'_{a-1}+1}^{\lambda'_a-1} \prod_{j \geq e} (\mathbf{m}_q^{[d_j]})^{\alpha_{qj}}] \cdot \tilde{J}_{(\lambda,t)} + \sum_{a=1}^{r-1} \sum_{(\lambda'', t'') \in P_{a+1}(I)} \prod_{\nu=1}^{a+1} (x_{i_{\lambda''_\nu}} \cdots x_{i_{\lambda''_{\nu-1}+1}})^{d_{t''_\nu}-1} \\ & \cdot [\prod_{j > e} (\mathbf{m}_{\lambda''_{a+1}}^{[d_j]})^{\alpha_{\lambda''_{a+1},j}} (\mathbf{m}_{\lambda''_{a+1}}^{[d_{t''_{a+1}}]})^{\alpha_{\lambda''_{a+1},t''_{a+1}}-1}] \\ & [\mathbf{m}_{\lambda''_a}^{[d_{t''_{a+1}}]} \prod_{j \geq t''_a} (\mathbf{m}_{\lambda''_a}^{[d_j]})^{\alpha_{\lambda''_a,j}} (\mathbf{m}_{\lambda''_a}^{[d_{t''_a}]} )^{\alpha_{\lambda''_a,t''_a}-1} \prod_{q=\lambda''_{a-1}+1}^{\lambda''_a-1} \prod_{j \geq t''_a} (\mathbf{m}_q^{[d_j]})^{\alpha_{qj}}] \cdot \tilde{J}_{(\lambda,t)}. \end{aligned}$$

Since all the pairs of  $P_b(I)$  have the form  $(\lambda', t)$  or  $(\lambda'', t'')$  for a pair  $(\lambda, t) \in P_b(I)$  or  $(\lambda, t) \in P_{b-1}(I)$  respectively, it is not hard to see that the expression above is the formula of  $J$  as stated.  $\square$

Let  $s_q = \max\{j | \alpha_{qj} \neq 0\}$ ,  $d_{qt} = \sum_{e=1}^q \sum_{j \geq t}^{s_q} \alpha_{ej} d_j$ ,  $D_q = d_{q,s_q} + (i_q - 1)(d_{s_q} - 1)$  for  $1 \leq q \leq r$ .

**Corollary 2.6.** *With the notation and hypothesis of above theorem, for  $(\lambda, t) \in P_a(I)$  let:*

$$d_{(\lambda,t)} = \sum_{\nu=1}^a \sum_{q=\lambda_{\nu-1}+1}^{\lambda_\nu} \sum_{j \geq t_\nu} \alpha_{qj} d_j. \text{ Then :}$$

1.  $\text{Soc}(I_{r-1}S/I) = \text{Soc}(S/I)$ .
2.  $((J+I)/I)_e \neq 0$ , if and only if  $e = d_{(\lambda,t)} + \sum_{\nu=1}^a (i_{\lambda_\nu} - i_{\lambda_{\nu-1}})(d_{t_\nu} - 1) - d_{t_1}$ , for some  $1 \leq a \leq r$  and  $(\lambda, t) \in P_a(I)$ .

3.  $c = \max\{e | ((J + I)/I)_e \neq 0\} = d_{r, s_r} + (n - 1)(d_{s_r} - 1) - 1 = D_r - 1$ .

**Proof:** 1. Note that  $J_{(\lambda, t)}$  is contained in

$$\prod_{q=1, q \notin \{\lambda_1, \dots, \lambda_q\}}^r (\mathbf{m}_q^{[d_j]})^{\alpha_{qj}} \prod_{\nu=1}^a \left[ \prod_{j \neq t_\nu} (\mathbf{m}_{\lambda_\nu}^{d_j})^{\alpha_{\lambda_\nu, j}} (\mathbf{m}_{\lambda_\nu}^{t_\nu})^{\alpha_{\lambda_\nu, t_\nu-1}} \right] \prod_{\epsilon=1}^{a-1} \mathbf{m}_{\lambda_{\epsilon+1}}^{d_{t_\epsilon}+1}.$$

Since  $\mathbf{m}_{\lambda_\epsilon}^{d_{t_\epsilon}+1} \subset \mathbf{m}_{\lambda_\epsilon}^{d_{t_\epsilon}}$  for  $t_{\epsilon+1} > t_\epsilon$  and  $\lambda_a = r$  it follows that

$$J \subset \prod_{j \neq t_a} (\mathbf{m}_r^{[d_j]})^{\alpha_{rj}} (\mathbf{m}_r^{[d_{t_a}]} )^{\alpha_{r t_a} - 1} I_{r-1},$$

as desired.

2. If  $((J + I)/I)_e \neq 0$  then there exists a monomial  $u \in J \setminus I$  of degree  $e$ . But  $u \in J$ , implies that there exists  $a \in \{1, \dots, r\}$  and  $(\lambda, t) \in P_a(I)$  such that  $u \in J_{(\lambda, t)}$ . Thus the degree of  $u$  is  $e = d_{(\lambda, t)} + \sum_{\nu=1}^a (i_{\lambda_\nu} - i_{\lambda_{\nu-1}})(d_{t_\nu} - 1) - d_{t_1}$ , as required.

Conversely, let  $e = d_{(\lambda, t)} + \sum_{\nu=1}^a (i_{\lambda_\nu} - i_{\lambda_{\nu-1}})(d_{t_\nu} - 1) - d_{t_1}$  for some  $a \in \{1, \dots, r\}$  and  $(\lambda, t) \in P_a(I)$ . We show that the monomial

$$w = \prod_{\nu=1}^a (x_{i_{\lambda_\nu}} \cdots x_{i_{\lambda_{\nu-1}+1}})^{d_{t_\nu}-1} \cdot x_1^{d_{(\lambda, t)} - d_{t_1}} \in J \setminus I.$$

Obvious  $w \in J$ . Let us assume that  $w \notin I$ . Then

$$w/x_{i_{\lambda_a}}^{d_{t_a}-1} \in \prod_{j \geq t_a} (\mathbf{m}_{\lambda_a}^{[d_j]})^{\alpha_{\lambda_a j}} I_{\lambda_a-1}$$

because  $x_{i_{\lambda_a}}^{d_{t_a}-1} \in \prod_{j < t_a} (\mathbf{m}_{\lambda_a}^{[d_j]})^{\alpha_{\lambda_a j}}$  and  $x_{i_{\lambda_a}} \notin \mathbf{m}_j$  for  $j < \lambda_a$ . Inductively we get that:

$$w/(x_{i_{\lambda_a}} \cdots x_{i_{\lambda_{a-1}+1}})^{d_{t_a}-1} \in \prod_{q=\lambda_{a-1}+1}^{\lambda_a} \prod_{j \geq t_a} (\mathbf{m}_{\lambda_a}^{[d_j]})^{\alpha_{qj}} I_{\lambda_a-1}.$$

Following the same reduction and using that  $t_a > \dots > t_1$  we obtain that:

$$x_1^{d_{(\lambda, t)} - d_{t_1}} \in \prod_{\nu=1}^a \prod_{q=\lambda_{\nu-1}+1}^{\lambda_\nu} \prod_{j \geq t_\nu} (\mathbf{m}_{\lambda_a}^{[d_j]})^{\alpha_{qj}}.$$

So  $d_{(\lambda, t)} - d_{t_1} \geq d_{(\lambda, t)}$ , a contradiction.

3. Note that  $c = d_{(\lambda', t')}$  for  $(\lambda', t') \in P_1(I)$  with  $\lambda' = \lambda_1 = r$  and  $t' = t_1 = s_r$ . We have to show that:

$$c = d_{r, s_r} + (n - 1)(d_{s_r} - 1) - 1 \leq d_{(\lambda, t)} + \sum_{\nu=1}^a (i_{\lambda_\nu} - i_{\lambda_{\nu-1}})(d_{t_\nu} - 1) - d_{t_1},$$

for any  $1 \leq a \leq r$  and  $(\lambda, t) \in P_a(I)$ . Since  $d_{s_r} - 1 \leq (d_{t_\nu} - 1) + \sum_{j \leq t_\nu}^{s_r-1} \alpha_{qj} d_j$  for all  $q$  with  $i_{\nu-1} < q \leq i_\nu$ , we see that:

$$D_r - 1 \geq d_{(\lambda, t)} + \sum_{\nu=2}^a (i_{\lambda_\nu} - i_{\lambda_{\nu-1}})(d_{t_\nu} - 1) + (i_{\lambda_1} - 1)(d_{t_1} - 1) - 1.$$

On the other hand,  $(i_{\lambda_1} - i_{\lambda_0})(d_{t_1} - 1) = (i_{\lambda_1} - 1)(d_{t_1} - 1) + d_{t_1} - 1$ , and replacing that in the above relation we obtained what is required.  $\square$

**Example 2.7.** Let  $\mathbf{d} : 1|2|4|12$ .

1. Let  $u = x_3^{21}$ . We have  $\alpha_0 = 1$ ,  $\alpha_1 = 0$ ,  $\alpha_2 = 2$  and  $\alpha_3 = 1$  so:

$$I = \langle u \rangle_{\mathbf{d}} = (x_1, x_2, x_3)(x_1^4, x_2^4, x_3^4)^2(x_1^{12}, x_2^{12}, x_3^{12}).$$

Let  $J = \sum_{t=0, \alpha_t > 0} J_t$ , where

$$J_t = (x_1 x_2 x_3)^{d_t-1} (x_1^{d_t}, x_2^{d_t}, x_3^{d_t})^{\alpha_t-1} \prod_{j>t} (x_1^{d_j}, x_2^{d_j}, x_3^{d_j})^{\alpha_j}.$$

$$\begin{aligned} J_0 &= (x_1 x_2 x_3)^{1-1} \cdot (x_1, x_2, x_3)^{1-1} \cdot \prod_{j>0} (x_1^{d_j}, x_2^{d_j}, x_3^{d_j})^{\alpha_j} = \\ &= (x_1^4, x_2^4, x_3^4)^2 (x_1^{12}, x_2^{12}, x_3^{12}). \end{aligned}$$

$$\begin{aligned} J_2 &= (x_1 x_2 x_3)^{4-1} (x_1^4, x_2^4, x_3^4)^{2-1} (x_1^{12}, x_2^{12}, x_3^{12}) = \\ &= (x_1 x_2 x_3)^3 (x_1^4, x_2^4, x_3^4) (x_1^{12}, x_2^{12}, x_3^{12}) \end{aligned}$$

and

$$J_3 = (x_1 x_2 x_3)^{12-1} = (x_1 x_2 x_3)^{11}. \text{ From 2.1, } \text{Soc}(S/I) = (J + I)/I.$$

2. Let  $u = x_2^9 x_3^{16}$ . We have  $r = 2$ ,  $i_1 = 2$  and  $i_2 = 3$ . Also  $\alpha_{10} = 1$ ,  $\alpha_{12} = 2$ ,  $\alpha_{22} = 1$ ,  $\alpha_{23} = 1$  and the other components of  $\alpha$  are zero. Then

$$I = \langle u \rangle_{\mathbf{d}} = \langle x_2^9 \rangle_{\mathbf{d}} \langle x_3^{16} \rangle_{\mathbf{d}} = (x_1, x_2)(x_1^4, x_2^4, x_3^4)(x_1^{12}, x_2^{12}, x_3^{12}).$$

We have two possible partitions: (a) (2) and (b) (1 < 2).

(a)  $\lambda = \lambda_1 = 2$ ,  $t = t_1$  such that  $\alpha_{2t} \neq 0$ . We have two possible  $t$ :  $t = 2$  or  $t = 3$ .

(i) For  $t = 2$  we obtain (according to the Theorem 2.4) the following part of the socle:

$$J_{(2,2)} = (x_1 x_2 x_3)^3 (x_1^{12}, x_2^{12}, x_3^{12}) (x_1^4, x_2^4)^4$$



(ii) For  $t = 3$  we obtain:

$$J_{(2,3)} = (x_1 x_2 x_3)^{11}$$

(b)  $1 = \lambda_1 < \lambda_2 = 2$ ,  $t = (t_1, t_2)$  such that  $\alpha_{\lambda_e, t_e} \neq 0$  for  $1 \leq e \leq 2$  and  $t_1 < t_2$ . According to our expressions for  $\alpha_i$  we have three possible cases:  $t_1 = 0, t_2 = 2$  or  $t_1 = 0, t_2 = 3$  or  $t_1 = 2, t_2 = 3$ .

(i) For  $t_1 = 0$  and  $t_2 = 2$  we obtain:

$$J_{(1,2),(0,2)} = x_3^3 (x_1^4, x_2^4) (x_1^4, x_2^4)^2 (x_1^{12}, x_2^{12}, x_3^{12}).$$

(ii) For  $t_1 = 0$  and  $t_2 = 3$  we obtain:

$$J_{(1,2),(0,3)} = x_3^{11} (x_1^{12}, x_2^{12}) (x_1^4, x_2^4)^2$$

(iii) For  $t_1 = 2$  and  $t_2 = 3$  we obtain:

$$J_{(1,2),(2,3)} = x_1^3 x_2^3 x_3^{11} (x_1^{12}, x_2^{12}) (x_1^4, x_2^4)$$

From 2.4 it follows that if  $J = J_{(2,2)} + J_{(2,3)} + J_{(1,2),(0,2)} + J_{(1,2),(0,3)} + J_{(1,2),(2,3)}$  then  $\text{Soc}(S/I) = (I + J)/J$ .

### 3 A generalization of Pardue's formula.

In this section, we give a generalization of a theorem proved by Aramova-Herzog [1] and Herzog-Popescu [4] which is known as "Pardue's formula".

Let  $1 \leq i_1 < i_2 < \dots < i_r = n$  and let  $\alpha_1, \dots, \alpha_r$  some positive integers. Let  $u = \prod_{i=1}^r x_{i_q}^{\alpha_q} \in S = K[x_1, \dots, x_n]$ . Our goal is to give a formula for the regularity of the ideal

$$I = \langle u \rangle_{\mathbf{d}} = \prod_{r=1}^q \prod_{j=0}^s (\mathbf{m}_q^{[d_j]})^{\alpha_{qj}},$$

where  $\alpha_q = \sum_{j=0}^s \alpha_{qj} d_j$ . If  $i_1 = 1$ , it follows that  $I = x_1^{\alpha_1} I'$ , where  $I' = \prod_{r=2}^q \prod_{j=0}^s (\mathbf{m}_q^{[d_j]})^{\alpha_{qj}}$ , and therefore  $\text{reg}(I) = \alpha_1 + \text{reg}(I')$ . Thus, we may assume  $i_1 \geq 2$ .

If  $N$  is a graded  $S$ -module of finite length, we denote  $s(N) = \max\{i | N_i \neq 0\}$ . Let  $s_q = \max\{j | \alpha_{qj} \neq 0\}$  and  $d_{qt} = \sum_{e=1}^q \sum_{j \geq t}^{s_e} \alpha_{ej} d_j$ . Let  $D_q = d_{qs_q} + (i_q - 1)(d_{s_q} - 1)$ , for  $1 \leq q \leq r$ . With this notations we have:

**Theorem 3.1.**  $\text{reg}(I) = \max_{1 \leq q \leq r} D_q$ . In particular, if  $I = \langle x_n^\alpha \rangle_{\mathbf{d}}$  and  $\alpha = \sum_{t=0}^s \alpha_t d_t$  with  $\alpha_s \neq 0$  then  $\text{reg}(I) = \alpha_s d_s + (n - 1)(d_s - 1)$ .

**Proof:** Let  $I_\ell = \prod_{q=1}^{r-\ell} \prod_{j=0}^s (\mathbf{m}_q^{[d_j]})^{\alpha_{qj}}$ , for  $0 \leq \ell \leq r$ . Then  $I = I_0 \subset I_1 \subset \dots \subset I_r = S$  is the sequential chain of ideals of  $I$ , i.e.  $I_{\ell+1} = (I_\ell : x_{n_\ell}^\infty)$ , where  $n_\ell = i_{r-\ell}$ . Moreover, from the Remark 1.13, we see that this chain is in fact the chain from the definition of a sequentially Cohen-Macaulay module for  $S/I$ . Let  $S_\ell = k[x_1, \dots, x_{n_\ell}]$  and  $m_\ell = (x_1, \dots, x_{n_\ell})$ .

The corollary 2.6 implies that  $c_e = D_e - 1$  is the maximal degree for a nonzero element of  $\text{Soc}(S_\ell/J_\ell)$ . [3, Corollary 2.7] implies

$$\text{reg}(I) = \max\{s(I_\ell S_\ell^{\text{sat}}/I_\ell S_\ell) \mid \ell = 0, \dots, r-1\} + 1.$$

Also, from the corollary 2.6, we get

$$\text{Soc}(S_\ell/I_\ell S_\ell) = \text{Soc}(I_{\ell+1} S_\ell/I_\ell S_\ell) = (I_{\ell+1} : m_\ell) S_\ell/I_\ell S_\ell = I_\ell S_\ell^{\text{sat}}/I_\ell S_\ell,$$

which complete the proof.  $\square$

**Corollary 3.2.**  $\text{reg}(I) \leq n \cdot \deg(u) = n \cdot \deg(I)$ , where  $\deg(I) = \max\{\deg(w) \mid w \in G(I)\}$ .

**Corollary 3.3.**  $S/I$  has at most  $r$ -corners among  $(i_q, D_q - 1)$  for  $1 \leq q \leq r$ . If  $i_1 = 1$  we replace  $(i_1, D_1 - 1)$  with  $(1, \alpha_1)$ . The corresponding extremal Betti numbers are  $\beta_{i_q, D_q + i_q - 1}$ .

**Proof:** By Theorem 1.14 combined with the proof of Theorem 3.1,  $S/I$  has at most  $r$ -corners among  $(n_\ell, s(I_{\ell+1} S_\ell/I_\ell S_\ell))$  and is enough to apply Corollary 2.6.  $\square$

**Example 3.4.** Let  $\mathbf{d} : 1|2|4|12$ .

1. Let  $u = x_3^{21} \in k[x_1, x_2, x_3]$ . We have  $21 = 1 \cdot 1 + 0 \cdot 2 + 2 \cdot 4 + 1 \cdot 12$ . From 3.1, we get:

$$\text{reg}(\langle u \rangle_{\mathbf{d}}) = 1 \cdot 12 + (3 - 1) \cdot (12 - 1) = 34.$$

2. Let  $u = x_1^2 x_2^{16} x_3^9$ . Then  $\text{reg}(\langle u \rangle_{\mathbf{d}}) = 2 + \text{reg}(\langle u' \rangle_{\mathbf{d}})$ , where  $u' = u/x_1^2$ . We compute  $\text{reg}(\langle u' \rangle_{\mathbf{d}})$ . With the notations above, we have  $i_1 = 2$ ,  $i_2 = 3$ ,  $r = 2$ ,  $\alpha_1 = 16$  and  $\alpha_2 = 9$ . We have  $\alpha_1 = 1 \cdot 4 + 1 \cdot 12$  and  $\alpha_2 = 1 \cdot 1 + 2 \cdot 4$ , thus  $s_1 = 3$  and  $s_2 = 2$ .  $D_1 = d_{13} + (2 - 1)(d_3 - 1) = 12 + 11 = 23$  and  $D_2 = d_{22} + (3 - 1)(d_2 - 1) = 24 + 6 = 30$ . In conclusion,  $\text{reg}(\langle u \rangle_{\mathbf{d}}) = 2 + \max\{23, 30\} = 32$ .

In the following, we show that if  $I$  is a principal  $\mathbf{d}$ -fixed ideal generated by the power of a variable, then  $I_{\geq e}$  is stable for any  $e \geq \text{reg}(I)$ .

**Lemma 3.5.** Let  $I = \langle x_n^\alpha \rangle_{\mathbf{d}}$  and  $\alpha = \sum_{t=0}^s \alpha_t d_t$  with  $\alpha_s \neq 0$ . If  $e \geq \text{reg}(I) + 1$  then for every monomial  $v \in I_{\geq e}$  there exists  $w \in G(I)$  and a monomial  $y \in S$  such that  $v = w \cdot y$  and  $m(v) = m(y)$ .

**Proof:** We may assume  $e = \text{reg}(I) + 1$  and  $v \in I_e$ . Then  $v = w' \cdot y'$  for some  $w' \in G(I)$  and a monomial  $y' \in S$ . Suppose  $w' = \prod_{t=0}^s \prod_{j=1}^n x_j^{\lambda_{tj} \cdot d_t}$ , where  $0 \leq \lambda_{tj}$  and  $\sum_{j=1}^n \lambda_{tj} = \alpha_t$ . Suppose  $n = m(v) = m(w') > m(y')$ . Then  $y' = x_1^{\beta_1} \cdots x_{n-1}^{\beta_{n-1}}$ . Let  $m = \min\{t | \lambda_{tn} \neq 0\}$ .

We claim that there exists some  $1 \leq i \leq n$  such that  $d_m - \sum_{t=0}^{m-1} \lambda_{ti} d_t \leq \beta_i$ . Otherwise, it follows that  $d_m - \sum_{t=0}^{m-1} \lambda_{ti} d_t \geq \beta_i + 1$  for any  $i = 1, \dots, n-1$ . So,

$$(n-1)d_m - \sum_{i=1}^{n-1} \sum_{t=0}^{m-1} \lambda_{ti} d_t \geq \beta_1 + \cdots + \beta_{n-1} + n-1 = \text{reg}(I) + 1 - \alpha + n-1 \Leftrightarrow$$

$$(n-1)(d_m - 1) - \sum_{t=0}^{m-1} \alpha_t d_t \geq (n-1)(d_s - 1) - \sum_{t=0}^{s-1} \alpha_t d_t + 1 \Leftrightarrow$$

$$\sum_{t=m}^{s-1} \alpha_t d_t \geq (n-1)(d_s - d_m) + 1,$$

because  $\text{reg}(I) = \alpha_s d_s + (n-1)(d_s - 1)$  from Theorem 3.1. But on the other hand,  $d_s - d_m = \sum_{t=m}^{s-1} (d_{t+1}/d_t - 1)d_t \geq \sum_{t=m}^{s-1} \alpha_t d_t$  and this contradict the above inequality.

Thus, we may choose  $i < n$  such that  $\gamma = d_m - \sum_{t=0}^{m-1} \lambda_{ti} d_t \leq \beta_i$ . Therefore, we can write:  $v = w' \cdot y' = w \cdot y$ , where  $w = w' \cdot x_i^\gamma / x_n^\gamma$  and  $y = w' \cdot x_n^\gamma / x_i^\gamma$ . It is easy to see that  $w \in G(I)$  and  $m(v) = m(y) = n$ .  $\square$

**Corollary 3.6.** *If  $I = \langle x_n^\alpha \rangle_{\mathbf{d}}$  and  $e \geq \text{reg}(I)$  then  $I_{\geq e}$  is stable.*

**Proof:** Let  $v \in I_{\geq e}$ . Let  $i < m(v)$ . Since  $x_i \cdot v \in I_{\geq e+1}$  it follows from the above lemma that  $x_i v = w \cdot y$  for some  $w \in G(I)$  and  $y \in S$  such that  $m(x_i v) = m(y)$ . But  $m(v) = m(x_i v)$  and thus  $x_i v / x_{m(v)} = w \cdot y / x_{m(v)} \in I$ .  $\square$

The converse is also true. Indeed we have the following more general result of Eisenbud-Reeves-Totaro:

**Proposition 3.7.** *[2, Proposition 12] Let  $I$  be a monomial ideal with  $\deg(I) = d$  and let  $e \geq d$  such that  $I_{\geq e}$  is stable. Then  $\text{reg}(I) \leq e$ .*

**Remark 3.8.** 3.6 gives another proof for the " $\leq$ " inequality of the generalised Pardue's formula in the case when  $I = \langle x_n^\alpha \rangle_{\mathbf{d}}$ . Indeed, considering  $e = \alpha_s d_s + (n-1)(d_s - 1)$  from 3.6 it follows that  $I_{\geq e}$  is stable and thus 3.7 implies  $\text{reg}(I) \leq e$ .

**Corollary 3.9.** *If  $I = \langle x_n^\alpha \rangle_{\mathbf{d}}$  then  $\text{reg}(I) = \min\{e | I_{\geq e} \text{ is stable}\}$ .*

**References**

- [1] ANNETTA ARAMOVA, JÜRGEN HERZOG, "p-Borel principal ideals", Illinois J.Math.41,no 1.(1997),103-121.
- [2] D.EISENBUD, A.REEVES, B.TOTARO, "Initial ideals, veronese subrings and rates of algebras", Adv.Math. 109 (1994), 168-187.
- [3] JÜRGEN HERZOG, DORIN POPESCU, MARIUS VLADOIU, "On the Ext-Modules of ideals of Borel type", Contemporary Math. 331 (2003), 171-186.
- [4] JÜRGEN HERZOG, DORIN POPESCU, "On the regularity of p-Borel ideals", Proceed.of AMS, Volume 129, no.9, 2563-2570.
- [5] KEITH PARDUE, "Non standard Borel fixed ideals", Dissertation, Brandeis University, 1994.
- [6] DORIN POPESCU, "Extremal Betti numbers and regularity of Borel type ideals", Bull. Math. Soc. Sc. Math. Roum. 48(96), no 1, (2005), 65-72.

Received: 4.09.2006.

Institute of Mathematics of the Romanian Academy  
Bucharest, Romania  
E-mail: [mircea.cimpoeas@imar.ro](mailto:mircea.cimpoeas@imar.ro)