

Generalization of a result on the roots of a trinomial equation

by
V.K.JAIN

Abstract

It is known that the trinomial equation

$$cz^n - z + 1 = 0,$$

has a root, in both the regions $|z - 1| \geq 1$, $|z - 1| \leq 1$, ($n \geq 2$), as well as the exterior of every circle, which passes through the origin, ($n \geq 3$). We have obtained a generalization, as well as an extension of this result and have shown that the polynomial

$$cz^n - (z - 1)^m, c \neq 0,$$

has a zero, in both the regions $|z - 1| \geq 1$, $|z - 1| \leq 1$, ($1 \leq m \leq n - 1$), as well as, in the exterior of every circle, which passes through the origin, ($1 \leq m \leq n - 2$)

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1 Introduction and statement of results

About the location of the roots of the trinomial equation

$$cz^n - z + 1 = 0 \tag{1}$$

we have the following result due to Fejer ([1]) and Szego ([4]):

Theorem A *The trinomial equation*

$$cz^n - z + 1 = 0, \quad n \geq 2,$$

has a root in both the regions $|z - 1| \geq 1$, $|z - 1| \leq 1$.

Joyal, Labelle and Rahman [2] obtained additional information about the location of roots of the trinomial equation (1) and proved

Theorem B *The trinomial equation*

$$cz^n - z + 1 = 0, \quad n \geq 3,$$

has a root outside every circle, which passes through the origin.

In this paper, we have obtained generalization, as well as extension, of both Theorem A and Theorem B. More precisely, we prove

Theorem 1. *The polynomial*

$$p(z) = cz^n - (z - 1)^m, \quad 1 \leq m \leq n - 1, \quad c \neq 0 \quad (2)$$

has at least one zero in $|z - 1| \leq 1$ and at least one zero in $|z - 1| \geq 1$.

Theorem 2. *The polynomial*

$$p(z) = cz^n - (z - 1)^m, \quad 1 \leq m \leq n - 2, \quad c \neq 0 \quad (3)$$

has a zero outside every circle which passes through the origin.

2 Proofs of the theorems

Proof: (Theorem 1) Let us first consider that

$$n = m + 1$$

Then the equation

$$p(\xi + 1) = 0$$

can be rewritten as

$$c\xi^{m+1} + \dots + c = 0$$

thereby implying that the polynomial $p(\xi + 1)$ has at least one zero in $|\xi| \leq 1$ and at least one zero in $|\xi| \geq 1$, and Theorem 1 follows in the particular case we have considered.

Now consider that

$$n > m + 1 \tag{4}$$

We assume that polynomial $p(z)$ has all its zeros in $|z - 1| \leq 1$. Therefore, by Gauss - Lucas theorem the polynomial

$$p^{(m)}(z) = cn(n - 1) \dots (n - m + 1)z^{n-m} - m!$$

will also have all its zeros in $|z - 1| \leq 1$. But by (4), we have

$$n - m \geq 2,$$

and therefore $p^{(m)}(z)$ can not have all its zeros in $|z - 1| \leq 1$. Thus, we get an absurdity and hence our assumption that $p(z)$ has all its zeros in $|z - 1| \leq 1$, should be wrong, thereby leading to the conclusion that $p(z)$ has at least one zero in $|z - 1| > 1$ (i.e. in $|z - 1| \geq 1$).

Now we will show that the polynomial

$$cz^n - (z - 1)^m$$

has at least one zero in $|z - 1| \leq 1$, in the present case. In other words, we have to prove that the polynomial

$$c(\xi + 1)^n - \xi^m$$

has at least one zero in $|\xi| \leq 1$, i.e. the polynomial

$$c(1 + \xi)^n - \xi^{n-m}$$

has at least one zero in $|\xi| \geq 1$, i.e. the polynomial

$$\Phi(t) = ct^n - (t - 1)^{n-m}, \tag{5}$$

has at least one zero in $|t - 1| \geq 1$. On the contrary, let us assume that the polynomial $\Phi(t)$ has all its zeros in $|t - 1| < 1$. By repeated application of Gauss-Lucas theorem [3, Theorem (6.1)], we can say that the polynomial

$$\Phi^{(n-m-1)}(t) = cn(n - 1) \dots (m + 2)t^{m+1} - (n - m)!(t - 1)$$

$$= (n - m)! [\{cn(n - 1) \dots (m + 2)/(n - m)!\} t^{m+1} - t + 1],$$

will have all its zeros in $|t - 1| < 1$, (contradicting Theorem A for $m = 1$), and the polynomial

$$\Phi^{(n-m)}(t) = (n - m)! [\{cn(n - 1) \dots (m + 2)(m + 1)/(n - m)!\} t^m - 1],$$

will also have all its zeros in $|t - 1| < 1$, (contradicting the fact, that for $m > 1$, the polynomial $\Phi^{(n-m)}(t)$ can not have all its zeros in $|t - 1| < 1$), thereby implying

that, our assumption, that $\Phi(t)$ has all its zeros in $|t - 1| < 1$ is wrong. This completes the proof of Theorem 1. \square

Proof: (Theorem 2)

On the contrary, let us assume that there exists a circle

$$\gamma : |z - \alpha| = |\alpha|,$$

such that the polynomial $p(z)$ has all its zeros in $\overline{I(\gamma)}$. This means, on using

$$z = \alpha\xi,$$

that the polynomial

$$\varphi(\xi) = p(\alpha\xi) = c\alpha^n\xi^n - (\alpha\xi - 1)^m$$

has all its zeros in $|\xi - 1| \leq 1$. By Gauss-Lucas theorem the polynomial

$$\varphi^{(m)}(\xi) = c\alpha^n n(n-1) \dots (n-m+1)\xi^{n-m} - m!\alpha^m$$

will also have all its zeros in $|\xi - 1| \leq 1$, and Theorem 2 follows, like Theorem 1, after observing that

$$n - m \geq 2,$$

by using (3) \square

References

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Mathematics Department,
I.I.T., Kharagpur
721302
India