

Depth in a pathological case

by
DORIN POPESCU*

Abstract

Let I be a squarefree monomial ideal of a polynomial algebra over a field minimally generated by f_1, \dots, f_r of degree $d \geq 1$, and a set E of monomials of degree $\geq d + 1$. Let $J \subsetneq I$ be a squarefree monomial ideal generated in degree $\geq d + 1$. Suppose that all squarefree monomials of $I \setminus (J \cup E)$ of degree $d + 1$ are some least common multiples of f_i . If J contains all least common multiples of two of (f_i) of degree $d + 2$ then $\text{depth}_S I/J \leq d + 1$ and Stanley's Conjecture holds for I/J .

Key Words: Monomial Ideals, Depth, Stanley depth.

2010 Mathematics Subject Classification: Primary 13C15; Secondary 13F20, 13F55, 13P10.

Introduction

Let K be a field and $S = K[x_1, \dots, x_n]$ be the polynomial K -algebra in n variables. Let $I \supsetneq J$ be two monomial ideals of S and suppose that I is generated by some monomials of degrees $\geq d$ for some positive integer d . After a multigraded isomorphism we may assume either that $J = 0$, or J is generated in degrees $\geq d + 1$.

Suppose that $I \subset S$ is minimally generated by some monomials f_1, \dots, f_r of degrees d , and a set E of monomials of degree $\geq d + 1$. Let B (resp. C) be the set of squarefree monomials of degrees $d + 1$ (resp. $d + 2$) of $I \setminus J$. Let w_{ij} be the least common multiple of f_i and f_j , $i < j$ and set W to be the set of all w_{ij} . By [4, Proposition 3.1] (see [7, Lemma 1.1]) we have $\text{depth}_S I/J \geq d$. It is easy to see that if $d = 1$, $E = \emptyset$ and $B \subset W$ then $\text{depth}_S I/J = d$ (see for instance [7, Lemma 1.8] and [6, Lemma 3]). Attempts to extend this result were made in [10, Proposition 1.3], [6, Lemma 4]. However [6, Example 1] (see here Example 1) shows that for $d = 2$, $E = \emptyset$ and $B \subset W$ it holds $\text{depth}_S I/J = d + 1 = 3$.

*The support from grant ID-PCE-2011-1023 of Romanian Ministry of Education, Research and Innovation is gratefully acknowledged.

If $B \cap (f_1, \dots, f_r) \subset W$ we call I/J a *pathological case*. It is the purpose of this paper to show the following theorem.

Theorem 1. *If $B \cap (f_1, \dots, f_r) \subset W$ and $C \cap W = \emptyset$ then $\text{depth}_S I/J \leq d + 1$.*

In particular, if $C \cap W = \emptyset$ then the so called Stanley's Conjecture holds in the pathological case (see Corollary 1). But why is important this pathological case? The methods used in [11], [6], [9] to show a weak form of Stanley's Conjecture when $r \leq 4$ (see [9, Conjecture 0.1]) could be applied only when $B \cap (f_1, \dots, f_r) \not\subset W$, that is when I/J is not pathological. Thus the above theorem solves partially one of the obstructions to prove this weak form. We believe that the condition $C \cap W = \emptyset$ could be removed from the above theorem. The proof of Theorem 1 relies on Lemmas 2, 3 and Examples 2, 3, 5 found after many computations with the Computer Algebra System SINGULAR [3].

The above theorem hints a possible positive answer to the following question.

Question 1. *Let $i \in [r - 1]$. Suppose that $E = \emptyset$ and every squarefree monomial from $I \setminus J$ of degree $d + i$ is a least common multiple of $i + 1$ monomials f_j . Then is it $\text{depth}_S I/J \leq d + i$?*

We owe thanks to A. Zarojanu and a Referee, who noticed some mistakes and a gap in Section 2 of some previous versions of this paper.

1 Depth and Stanley depth

Suppose that I is minimally generated by some squarefree monomials f_1, \dots, f_r of degree d for some $d \in \mathbb{N}$ and a set E of some squarefree monomials of degree $\geq d + 1$. Let C_3 be the set of all $c \in C \cap (f_1, \dots, f_r)$ having all degree $(d + 1)$ divisors from $B \setminus E$ in W . In particular each monomial of C_3 is the least common multiple of at least three of the f_i .

Next lemma is closed to [10, Lemma 1.1].

Lemma 1. *Suppose that $E = \emptyset$ and $\text{depth}_S I/(J, b) = d$ for some $b \in B$. Then $\text{depth}_S I/J \leq d + 1$.*

Proof: If there exists no $c \in C$ such that $b|c$ then we have $\text{depth}_S I/J \leq d + 1$ by [10, Lemma 1.5]. Otherwise, in the exact sequence

$$0 \rightarrow (b)/J \cap (b) \rightarrow I/J \rightarrow I/(J, b) \rightarrow 0$$

the first term has depth $\geq d + 2$ because for a multiple $c \in C$ of b all the variables of c form a regular system. By hypothesis the last term has depth $\geq d$ and so the middle one has depth d too using the Depth Lemma. \square

We recall the following example from [6].

Example 1. Let $n = 5$, $r = 5$, $d = 2$, $f_1 = x_1x_2$, $f_2 = x_1x_3$, $f_3 = x_1x_4$, $f_4 = x_2x_3$, $f_5 = x_3x_5$ and $I = (f_1, \dots, f_5)$, $J = (x_1x_2x_5, x_1x_4x_5, x_2x_3x_4, x_3x_4x_5)$. It follows that $B = \{x_1x_2x_3, x_1x_2x_4, x_1x_3x_4, x_1x_3x_5, x_2x_3x_5\}$ and so $s = |B| = r = 5$. Note that $B \subset W$. A computation with SINGULAR when $\text{char } K = 0$ gives $\text{depth}_S I/J = \text{depth}_S S/J = 3$ and $\text{depth}_S S/I = 2$. Since depth depends on the characteristic of the field it follows in general only that $\text{depth}_S S/J \leq 3$, $\text{depth}_S S/I \leq 2$ using [1, Lemma 2.4]. In fact $\text{depth}_S I/J \leq d + 1 = 3$ using [12, Proposition 2.4] because $q = |C| = 2 < r = 5$. Note that choosing any $b \in B$ we have $\text{depth}_S I/(J, b) = 2$ because the corresponding $s' < r$ and we may apply [7, Theorem 2.2]. But then $\text{depth}_S I/J \leq 3$ by Lemma 1.

Example 2. In the above example set $I' = (f_1, \dots, f_4)$, $J' = J \cap I' = (x_1x_2x_5, x_1x_4x_5, x_2x_3x_4)$. Note that we have an injection $I'/J' \rightarrow I/J$ and so $\text{depth}_S I'/J' > 2$ because otherwise we get $\text{depth}_S I/J = 2$ which is impossible. Given B', W' for I'/J' we see that $B' \not\subset W'$ since $x_2x_3x_5 \in B' \setminus W'$, that is I'/J' is not anymore in the pathological case even this was the case of I/J . We have $I/(J, f_5) \cong I'/(J', x_1x_3x_5, x_2x_3x_5)$. Using SINGULAR when $\text{char } K = 0$ we see that $\text{depth}_S I'/(J', x_1x_3x_5) = \text{depth}_S I'/(J', x_1x_3x_5, x_2x_3x_5) = 2$, $\text{depth}_S I'/(J', x_2x_3x_5) = 3$. It follows that always

$$\text{depth}_S I'/(J', x_1x_3x_5), \text{depth}_S I'/(J', x_1x_3x_5, x_2x_3x_5) \leq 2$$

using [1, Lemma 2.4]. These inequalities are in fact equalities because I' is generated in degree 2. Thus we cannot apply Lemma 1 for $I', J', b = x_2x_3x_5$ but we may apply this lemma for $I', J', b' = x_1x_3x_5$ to get $\text{depth}_S I'/J' \leq 3$.

Lemma 2. *Suppose that $r > 1$ and $\text{depth}_S I/(J, f_r) = d$. Then $\text{depth}_S I/J \leq d + 1$.*

Proof: Let $B \cap (f_r) = \{b_1, \dots, b_p\}$. As $I/(J, f_r)$ has a squarefree, multigraded free resolution we see that only the components of squarefree degrees of

$$\text{Tor}_S^{n-d}(K, I/(J, f_r)) \cong H_{n-d}(x; I/(J, f_r))$$

are nonzero, the last module being the Koszul homology of $I/(J, f_r)$. Thus we may find

$$z = \sum_{i=1}^{r-1} y_i f_i e_{[n] \setminus \text{supp } f_i} \in K_{n-d}(x; I/(J, f_r)),$$

$y_i \in K$ inducing a nonzero element in $H_{n-d}(x; I/(J, f_r))$. Here we set $\text{supp } f_i = \{t \in [n] : x_t | f_i\}$ and $e_A = \wedge_{j \in A} e_j$ for a subset $A \subset [n]$. We have

$$\partial z = \sum_{b \in B} P_b(y) b e_{[n] \setminus \text{supp } b} = \sum_{i=1}^p P_{b_i}(y) b_i e_{[n] \setminus \text{supp } b_i},$$

where P_b are linear homogeneous polynomials in y . Note that $P_b(y) = 0$ for all $b \notin \{b_1, \dots, b_p\}$. Choose $j \in \text{supp } f_r$ and consider

$$z_j = \sum_{i=1, f_i \notin (x_j)}^{r-1} y_i f_i e_{[n] \setminus (\{x_j\} \cup \text{supp } f_i)} \in K_{n-d-1}(x; I/J).$$

In ∂z_j appear only terms of type ue_A , $j \notin A$ with $|A| = n - d - 1$ and $u = \prod_{i \in [n] \setminus (A \cup \{j\})} x_i$. Thus terms of type $b_i e_{[n] \setminus \text{supp } b_i}$, $i \in [p]$ are not present in ∂z_j because $b_i \in (x_j)$. It follows that $\partial z_j = 0$ and so z_j is a cycle. Note that a cycle of $K_{n-d-1}(x; I/J)$ could contain also terms of type $ve_{A'}$ with $|A'| = n - d - 1$ and $v = \prod_{i \in [n] \setminus A'} x_i \in B$, but z_j is just a particular cycle.

Remains to show that we may find j such that z_j is a nonzero cycle. Suppose that $y_m \neq 0$ for $m \in [r - 1]$ and choose $j \in \text{supp } f_r \setminus \text{supp } f_m$. It follows that z_j is a nonzero cycle because $y_m f_m e_{[n] \setminus (\{x_j\} \cup \text{supp } f_m)}$ is present in z_j . Thus $\text{depth}_S I/J \leq d + 1$ by [2, Theorem 1.6.17]. \square

Remark 1. Applying the above lemma to Example 2 we see that $\text{depth}_S I/J \leq 3$ because $\text{depth}_S I/(J, f_5) = 2$.

Let $P_{I \setminus J}$ be the poset of all squarefree monomials of $I \setminus J$ with the order given by the divisibility. Let P be a partition of $P_{I \setminus J}$ in intervals $[u, v] = \{w \in P_{I \setminus J} : u|w, w|v\}$, let us say $P_{I \setminus J} = \cup_i [u_i, v_i]$, the union being disjoint. Define $\text{sdepth } P = \min_i \deg v_i$ and the *Stanley depth* of I/J given by $\text{sdepth}_S I/J = \max_P \text{depth } P$, where P runs in the set of all partitions of $P_{I \setminus J}$ (see [4], [13]). Stanley's Conjecture says that $\text{sdepth}_S I/J \geq \text{depth}_S I/J$.

In Example 1 we have $s = 5 < q + 3 = 7$ and so it follows that $\text{depth}_S I/J \leq d + 1$ by [8, Theorem 1.3] (see also [6, Theorem 2]). Next example follows [9, Example 1.6] and has $s = q + r$, $\text{sdepth}_S I/J = d + 2$ but $\text{depth}_S I/J = d$.

Example 3. Let $n = 12, r = 11, f_1 = x_{12}x_1, f_2 = x_{12}x_2, f_3 = x_{12}x_3, f_4 = x_{12}x_4, f_5 = x_{12}x_5, f_6 = x_{12}x_6, f_7 = x_6x_7, f_8 = x_6x_8, f_9 = x_6x_9, f_{10} = x_6x_{10}, f_{11} = x_6x_{11}, J = (x_7, \dots, x_{11})(f_1, \dots, f_5) + (x_1, \dots, x_5)(f_7, \dots, f_{11}) + f_6(x_9, \dots, x_{11}), I = (f_1, \dots, f_{11})$. We have $B = \{w_{ij} : 1 \leq i < j \leq 5\} \cup \{w_{kt} : 6 < k < t \leq 11\} \cup \{w_{i6} : i \in [8], i \neq 6\}$, that is $s = |B| = 27$. Let $c_1 = x_6w_{12}, c_2 = x_6w_{23}, c_3 = x_6w_{34}, c_4 = x_6w_{45}, c_5 = x_6w_{15}, c_6 = x_8w_{67}, c_7 = x_9w_{78}, c_8 = x_{10}w_{89}, c_9 = x_{11}w_{9,10}, c_{10} = x_7w_{10,11}, c_{11} = x_7w_{8,11}, c'_{13} = x_4w_{13}, c'_{14} = x_5w_{14}, c'_{24} = x_6w_{24}, c'_{25} = x_3w_{25}, c'_{35} = x_6w_{35}$. These are all monomials of C , that is $q = |C| = 16$ and so $s = q + r$. The intervals $[f_i, c_i], i \in [11]$ and $[w_{13}, c'_{13}], [w_{14}, c'_{14}], [w_{24}, c'_{24}], [w_{25}, c'_{25}], [w_{35}, c'_{35}]$ induce a partition P on I/J with $\text{sdepth } 4$.

We claim that $\text{depth}_S S/J = 2$. Indeed, let $J' = (x_7, \dots, x_{11})(f_1, \dots, f_5) + (x_1, \dots, x_5)(f_7, \dots, f_{11}) = (x_{12}, x_6)(x_7, \dots, x_{11})(x_1, \dots, x_5)$. By [5, Theorem 1.4] we get $\text{depth}_S S/J' = 2 = d$. Set $J_1 = J' + (x_{12}x_6x_9), J_2 = J_1 + (x_{12}x_6x_{10})$. We have $J = J_2 + (x_{12}x_6x_{11})$. In the exact sequences

$$0 \rightarrow (x_{12}x_6x_9)/(x_{12}x_6x_9) \cap J' \rightarrow S/J' \rightarrow S/J_1 \rightarrow 0,$$

$$\begin{aligned} 0 &\rightarrow (x_{12}x_6x_{10})/(x_{12}x_6x_{10}) \cap J_1 \rightarrow S/J_1 \rightarrow S/J_2 \rightarrow 0, \\ 0 &\rightarrow (x_{12}x_6x_{11})/(x_{12}x_6x_{11}) \cap J_2 \rightarrow S/J_2 \rightarrow S/J \rightarrow 0 \end{aligned}$$

the first terms have depth ≥ 5 . Applying the Depth Lemma by recurrence we get our claim.

Now we see that $\text{depth}_S S/I = 6$. Set $I_j = (f_1, \dots, f_j)$ for $6 \leq j \leq 11$. We have $I = I_{11}$, $I_6 = x_{12}(x_1, \dots, x_6)$ and $\text{depth}_S S/I_6 = 6$. In the exact sequences

$$0 \rightarrow (f_{j+1})/(f_{j+1}) \cap I_j \rightarrow S/J_j \rightarrow S/I_{j+1} \rightarrow 0,$$

$6 \leq j < 11$ we have $(f_{j+1}) \cap I_j = f_{j+1}(x_{12}, x_7, \dots, x_j)$ and so $\text{depth}_S (f_{j+1})/(f_{j+1}) \cap I_j = 12 - (j - 5) \geq 7$ for $6 \leq j < 11$. Applying the Depth Lemma by recurrence we get $\text{depth}_S S/I_{j+1} = 6$ for $6 \leq j < 11$ which is enough.

Finally using the Depth Lemma in the exact sequence

$$0 \rightarrow I/J \rightarrow S/J \rightarrow S/I \rightarrow 0$$

it follows $\text{depth}_S I/J = 2 = d$.

The following lemma is the key in the proof of Theorem 1 and its proof is given in the next section.

Lemma 3. *Suppose that $E = \emptyset$, $C \subset C_3$, $C \cap W = \emptyset$ and Theorem 1 holds for $r' < r$. Then $\text{depth}_S I/J \leq d + 1$.*

Proposition 1. *Suppose that $C \cap (f_1, \dots, f_r) \subset C_3$, $C \cap W = \emptyset$ and Theorem 1 holds for $r' < r$. Then $\text{depth}_S I/J \leq d + 1$.*

Proof: Suppose that $E \neq \emptyset$, otherwise apply Lemma 3. Set $I' = (f_1, \dots, f_r)$, $J' = J \cap I'$. In the exact sequence

$$0 \rightarrow I'/J' \rightarrow I/J \rightarrow I/(I', J) \rightarrow 0$$

the last term is isomorphic to something generated by E and so its depth is $\geq d + 1$. The first term satisfies the conditions of Lemma 3 which gives $\text{depth}_S I'/J' \leq d + 1$. By the Depth Lemma we get $\text{depth}_S I/J \leq d + 1$ too. \square

Proof of Theorem 1

Apply induction on r . If $r < 5$ then $B \cap (f_1, \dots, f_r) \subset W$ implies $|B \cap (f_1, \dots, f_r)| < 2r$ and so $\text{sdepth}_S I/J \leq d + 1$ and even $\text{depth}_S I/J \leq d + 1$ by [12, Proposition 2.4] (we may also apply [9, Theorem 0.3]). Suppose that $r \geq 5$. Since all divisors of a monomial $c \in C \cap (f_1, \dots, f_r)$ of degrees $d + 1$ are in B , they are also in W by our hypothesis. Thus $C \cap (f_1, \dots, f_r) \subset C_3$ and we may apply Proposition 1 under induction hypothesis. \square

Corollary 1. *Suppose that $B \cap (f_1, \dots, f_r) \subset W$ and $C \cap W = \emptyset$. Then $\text{depth}_S I/J \leq \text{sdepth}_S I/J$, that is the Stanley Conjecture holds for I/J .*

Proof: If $\text{sdepth}_S I/J = d$ then apply [7, Theorem 4.3], otherwise apply Theorem 1. \square

2 Proof of Lemma 3.

We may suppose that $B \subset W$ because each monomial of B must divide a monomial of C , otherwise we get $\text{depth}_S I/J \leq d+1$ by [10, Lemma 1.5]. Then we may suppose that $B \subset \cup_i \text{supp } f_i$ and we may reduce to the case when $[n] = \cup_i \text{supp } f_i$ because then $\text{depth}_S I/J = \text{depth}_{\tilde{S}}(I \cap \tilde{S})/(J \cap \tilde{S})$ for $\tilde{S} = K[\{x_t : t \in \cup_i \text{supp } f_i\}]$.

On the other hand, we may suppose that for each $i \in [r]$ there exists $c \in C$ such that $f_i | c$, otherwise we may apply again [10, Lemma 1.5]. Since $c \in C_3$, let us say c is the least common multiple of f_1, f_2, f_3 we see that at least, let us say, $w_{12} \in B$. Then $f_i \in (u_1)$, $i \in [2]$ for some monomial $u_1 = (f_1 f_2)/w_{12}$ of degree $d-1$.

We may assume that $f_i \in (u_1)$ if and only if $i \in [k_1]$ for some $2 \leq k_1 \leq r$. Set $U_1 = \{f_1, \dots, f_{k_1}\}$. We also assume that

$$\{u_i : i \in [e]\} = \{u : u = \gcd(f_i, f_j), \deg u = d-1, i \neq j \in [r]\},$$

and define

$$U_i = \{f_j : f_j \in (u_i), j \in [r]\}$$

for each $i \in [e]$. Since each $f_t \in U_i$ divides a certain $c \in C$ we see from our construction that there exist $f_p, f_l \in U_i$ such that $w_{tp}, w_{tl} \in B$. Note that if $|U_i \cap U_j| \geq 2$ then we get $u_i = u_j$ and so $i = j$. Thus $|U_i \cap U_j| \leq 1$ for all $i, j \in [e]$, $i \neq j$.

Suppose that $w_{ij} \in J$ for all $i \in [k_1]$ and for all $j > k_1$, let us say $f_i = u_1 x_i$ for $i \in [k_1]$. Set $I' = (f_1, \dots, f_{k_1})$, $J' = I' \cap J$ and $\hat{S} = K[\{x_i : i \in [k_1] \cup \text{supp } u_1\}]$. Then $\text{depth}_S I'/J' = \text{depth}_{\hat{S}} I' \cap \hat{S}/J' \cap \hat{S} = \deg u_1 + \text{depth}_{\hat{S}}(x_1, \dots, x_{k_1}) \hat{S}/(J' : u_1) \cap \hat{S} = d$. Thus $\text{depth}_S I/J = d$ by the Depth Lemma applied to the exact sequence

$$0 \rightarrow I'/J' \rightarrow I/J \rightarrow I/(I', J) \rightarrow 0,$$

since the last term has depth $\geq d$ being generated by squarefree monomials of degree $\geq d$. In particular, $\text{depth}_S I/J = d$ if $e = 1$. If $e > 1$ we may assume that for each $i \in [e]$ there exists $j \in [e]$ with $U_i \cap U_j \neq \emptyset$.

Example 4. Back to Example 3 note that we may take $u_1 = x_{12}$, $u_2 = x_6$ and $U_1 = \{f_1, \dots, f_6\}$, $U_2 = \{f_6, \dots, f_{11}\}$.

Lemma 4. *Suppose that $e \geq 2$ and $f_r \in U_e$. Let I' be the ideal generated by all $f_k \in U_e \setminus \{f_r\}$. If $\text{depth}_S I/(J, I', f_r) \geq d+1$ and there exists t with $f_t \in (\cup_{i=1}^{e-1} U_i) \setminus U_e$ such that $w_{rt} \in B \setminus I'$ then $\text{depth}_S I/(J, I') = d+1$.*

Proof: Using the Depth Lemma applied to the exact sequence

$$0 \rightarrow (f_r)/(f_r) \cap (J, I') \rightarrow I/(J, I') \rightarrow I/(J, I', f_r) \rightarrow 0$$

we see that it is enough to show that $\text{depth}_S (f_r)/(f_r) \cap (J, I') = d+1$. By our hypothesis the squarefree monomials from $(f_r) \setminus (J, I')$ have the form $w_{rt'}$ for some t' with $f_{t'} \in (\cup_{i=1}^{e-1} U_i) \setminus U_e$ and $w_{rt'} \in B \setminus I'$.

Next we will describe the above set of monomials. If there exists no U_i containing $f_t, f_{t'}$ then their contributions to $(f_r)/(f_r) \cap (J, I')$ consist in two different monomials $w_{rt}, w_{rt'}$. Otherwise, we must have $f_r = x_k x_p v, f_t = x_k x_m v$ and $f_{t'} = x_p x_m v$ for some different $k, m, p \in [n]$ and one monomial v of degree $d - 2$. Thus $w_{rt} = w_{rt'}$ and the contributions of $f_t, f_{t'}$ consist in just one monomial. Let A be the set of all $f_k \in (\cup_{i=1}^{e-1} U_i) \setminus U_e$ such that $w_{rk} \in B \setminus I'$ and define an equivalence relation on A by $f_t \sim f_{t'}$ if $f_t, f_{t'} \in U_i$ for some $i \in [e - 1]$. For some f_t from an equivalence class of A/\sim we have $w_{rt} = x_{\gamma_t} f_r$ for one $\gamma_t \in [n]$. Let Γ be the set of all these variables x_{γ_t} for which $w_{rt} \notin (J, I')$. For two $x_{\gamma_t}, x_{\gamma_{t'}}$ corresponding to different classes we have $w_{tt'} = x_{\gamma_t} x_{\gamma_{t'}} f_r$ since $f_t, f_{t'}$ are not in the same equivalence class. Thus $w_{tt'} \in J$ because otherwise $w_{tt'} \in C$ which is impossible by our hypothesis. Let $Q \subset K[\Gamma]$ be the ideal generated by all squarefree quadratic monomials. The multiplication by f_r gives a bijection between $K[\Gamma]/Q$ and $(f_r)/(f_r) \cap (J, I')$ because each squarefree monomial of $(B \cap (f_r)) \setminus I'$ has the form $w_{rt} = f_r x_{\gamma_t}$ for some t, x_{γ_t} being in Γ . Then $\text{depth}_S (f_r)/(f_r) \cap (J, I') = d + \text{depth}_{K[\Gamma]} K[\Gamma]/Q = d + 1$ since the variables of f_r form a regular sequence for $(f_r)/(f_r) \cap (J, I')$ (in the squarefree frame). Note that if A/\sim has just one class of equivalence containing some f_t with $w_{tr} \in B$ then $|\Gamma| = 1, Q = 0$ and also it holds $\text{depth}_{K[\Gamma]} K[\Gamma]/Q = 1$. \square

Remark 2. In the notations of the above lemma suppose that $w_{rt} \in (J, I')$ for all t with $f_t \in (\cup_{i=1}^{e-1} U_i) \setminus U_e$. Then there exists no squarefree monomial of degree $d + 1$ in $(f_r) \setminus (J, I')$ and so $\text{depth}_S (f_r)/(f_r) \cap (J, I') = d$. It follows that $\text{depth}_S I/(J, I') = d$ too.

Lemma 5. *Suppose that $e \geq 2$. If $\text{depth}_S I/(J, (U_e)) \leq d + 1$ then $\text{depth}_S I/J \leq d + 1$.*

Proof: Suppose that $U_e \supset \{f_{k+1}, \dots, f_r\}$ for some $k \leq r$. Let I'_k be the ideal generated by all $f_t \in U_e \setminus \{f_{k+1}, \dots, f_r\}$. We claim that $\text{depth}_S I/(J, I'_k) \leq d + 1$. Apply induction on $r - k$, the case $k = r - 1$ being done in Lemma 4 and Remark 2. Assume that $r - k > 1$ and note that $I'_{k+1} = (I'_k, f_{k+1})$. By induction hypothesis we have $\text{depth}_S I/(J, I'_{k+1}) \leq d + 1$. If $\text{depth}_S I/(J, I'_{k+1}) = d$ then we get $\text{depth}_S I/(J, I'_k) \leq d + 1$ by Lemma 2. If $\text{depth}_S I/(J, I'_{k+1}) = d + 1$ we get again $\text{depth}_S I/(J, I'_k) \leq d + 1$ by Lemma 4 and Remark 2. This proves our claim.

Now choose $r - k$ maxim, let us say $U_e = \{f_{k+1}, \dots, f_r\}$. Then $I'_k = 0$ and so we get $\text{depth}_S I/J \leq d + 1$. \square

Example 5. Let $n = 6, r = 8, d = 2, f_1 = x_1 x_2, f_2 = x_1 x_3, f_3 = x_1 x_4, f_4 = x_2 x_3, f_5 = x_3 x_5, f_6 = x_2 x_6, f_7 = x_3 x_6, f_8 = x_4 x_6$, and $I = (f_1, \dots, f_8)$,

$$J = (x_1 x_2 x_5, x_1 x_3 x_6, x_1 x_4 x_5, x_1 x_4 x_6, x_2 x_3 x_4, x_2 x_5 x_6, x_3 x_4 x_5, x_3 x_5 x_6, x_4 x_5 x_6).$$

It follows that $U_1 = \{f_1, \dots, f_3\}$, $U_2 = \{f_2, f_4, f_5, f_7\}$, $U_3 = \{f_6, f_7, f_8\}$, $U_4 = \{f_1, f_4, f_6\}$, $U_5 = \{f_3, f_8\}$, $u_1 = x_1$, $u_2 = x_3$, $u_3 = x_6$, $u_4 = x_2$, $u_5 = x_4$. Let $\tilde{S} = K[x_1, \dots, x_5]$, $\tilde{J} \subset \tilde{I} \subset \tilde{S}$ be the corresponding ideals given in Example 1. For $I' = (U_3)$ we have $I/(J, I') \cong \tilde{I}S/(\tilde{J}, x_6\tilde{I})S$. Thus $\text{depth}_S I/(J, I') = \text{depth}_S \tilde{I}/\tilde{J} \leq 3 = d+1$ by Example 1 and so using Lemma 5 we get $\text{depth}_S I/J \leq 3$ too.

Proposition 2. *If $\cap_{i \in [e]} U_i \neq \emptyset$ then $\text{depth}_S I/J \leq d+1$.*

Proof: As we have seen $\text{depth}_S I/J = d$ if $e = 1$. Assume that $e > 1$ and let us say $f_r \in \cap_{i \in [e]} U_i$. Set $I' = (U_e)$. In $I/(J, I')$ we have $(e-1)$ disjoint $U'_i = U_i \setminus \{f_r\}$, $i \in [e-1]$. It follows that $\text{depth}_S I/(J, I') = d$ and so $\text{depth}_S I/J \leq d+1$ by Lemma 5. \square

Proof of Lemma 3.

If $\cap_{i \in [e]} U_i \neq \emptyset$ then apply the above proposition. Otherwise, suppose that $f_r \in U_j$ if and only if $1 \leq j < k$ for some $1 < k \leq e$. Set $I' = (U_k, \dots, U_e)$. Applying again the above proposition we get $\text{depth}_S I/(J, I') \leq d+1$. Set $L_i = \cup_{j \geq i} U_j$. Since $I' = (L_k)$ and $\text{depth}_S I/(J, L_k) \leq d+1$ we see that $\text{depth}_S I/(J, L_{k+1}) \leq d+1$ by Lemma 5. Using by recurrence Lemma 5 we get $\text{depth}_S I/J = \text{depth}_S I/(J, L_{e+1}) \leq d+1$ since $L_{e+1} = \emptyset$. \square

It is not necessary to assume in Proposition 2 that $C \cap W = \emptyset$ because anyway this follows as shows the following lemma.

Lemma 6. *If $\cap_{i \in [e]} U_i \neq \emptyset$ then $C \cap W = \emptyset$.*

Proof: Clearly we may suppose that $e > 1$. Let $f_r \in \cap_{i \in [e]} U_i$. Suppose that $w_{tt'} \in C$ for some $t \in U_i$, $t' \in U_j$. Then $i \neq j$ and let us say $f_t = u_i x_1$, $f_{t'} = u_j x_2$ and $f_r = u_i x_3 = u_j x_4$. It follows that $u_i = x_4 v$, $u_j = x_3 v$ for some monomial v and so $f_t = x_1 x_4 v$, $f_{t'} = x_2 x_3 v$, $f_r = v x_3 x_4$. Note that $f_t x_2 \in B$ and so must be of type $w_{tt''}$ for some $t'' \in [r]$. It follows that $f_t, f_{t''} \in U_k$ for some $k \in [e]$. By hypothesis $f_r \in U_k$ and so $k = i$ because otherwise $|U_k \cap U_i| > 1$ which is impossible. Since $f_t x_2 \in B$ we see that $x_2 \notin \text{supp } u_i$ and it follows that $f_{t''} = x_2 x_4 v$. Therefore, $w_{tt''} = x_2 x_3 x_4 v \in B$ and so $f_{t'}, f_{t''} \in U_p$ for some p . This is not possible because otherwise one of $|U_p \cap U_i|$, $|U_p \cap U_j|$, $|U_i \cap U_j|$ is ≥ 2 . Contradiction! \square

Added in Proof: Meanwhile, an example appeared in a paper of Duval et al. (A non-partitionable Cohen-Macaulay simplicial complex), arXiv 1504.04279, which shows that the Stanley Conjecture fails. However, our Question 1 is still open.

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Received: 20.01.2015

Accepted: 28.05.2015

Simion Stoilow
Institute of Mathematics of Romanian Academy,
Research unit 5,
P.O.Box 1-764,
Bucharest 014700, Romania.
E-mail: dorin.popescu@imar.ro