

## An efficient Steffensen-like iterative method with memory

by

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### Abstract

Some methods with memory for solving nonlinear equations are designed from known methods without memory. We increase the convergence order from 4 to 6 by using a free parameter accelerator by Newton's interpolatory polynomial of the third degree. So, its efficiency index is even better than optimal sixteenth-order methods without memory. Dynamical behavior on low-degree polynomials is analyzed, highly improving the stability properties of the original schemes. Numerical test problems are given to prove its competitiveness with methods of the same class.

**Key Words:** Iterative methods, R-order, Steffensen-like methods with memory, computational efficiency, Herzberger's matrix, stability, basin of attraction.

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### 1 Introduction

Root finding is a great task in mathematics, both historically and practically. It has attracted the attention of great mathematicians like Gauss and Newton. It has real major applications and because of these real features, it is still alive as a research field.

Kung and Traub's conjecture is the basic fact to construct optimal multipoint methods without memory [9]. This conjecture establish that the order of convergence of any multipliont method without memory using  $n + 1$  functional evaluations per step is, at most,  $2^n$ . When this bound is reached, the scheme is called optimal. On the other hand, multipoint methods with memory can increase the efficiency index of an optimal method without memory, without consuming any new functional evaluations and merely using accelerator parameter(s). This great power of methods with memory has not been much considered until very recently. So, we have been motivated to develop a modified version with memory of a known optimal fourth-order methods recently published in [4].

Traub, in [16], introduced methods with and without memory for the first time. Moreover, he constructed a Steffensen-type method with memory using secant approach. In fact, he increased

the order of convergence of the Steffensen method (see [15]) from 2 to 2.41. This is the first method with memory based on our best knowledge. Džunić and Petković have improved this scheme and increased its order of convergence from 2 to 3 without any new functional evaluation in [5]. However, a two-step (three-point) class with memory was created in [13] with  $R$ -order at least 4.44. Later on, this method has been modified totally in [12] to reach the  $R$ -order 6.

Derivative free iterative methods for approximating a root  $\alpha$  of nonlinear equations  $f(x) = 0$  are important in the sense that in many practical situations it is preferable to avoid the calculation of the derivative of  $f$ . Usually, the starting scheme is the Steffensen's method,

$$x_{k+1} = x_k - \frac{f(x_k)^2}{f(x_k + f(x_k)) - f(x_k)}, \quad k = 0, 1, 2, \dots, \quad (1.1)$$

which is obtained from Newton's method

$$x_{k+1} = x_k - \frac{f(x_k)}{f'(x_k)}, \quad k = 0, 1, 2, \dots$$

by approximating the derivative  $f'(x_k)$  by the quotient  $\frac{f(x_k + f(x_k)) - f(x_k)}{f(x_k)}$ .

If a real parameter  $\gamma$  is included in this estimation, a family of Steffensen-like methods is obtained

$$x_{k+1} = x_k - \frac{\gamma f(x_k)^2}{f(x_k + \gamma f(x_k)) - f(x_k)}, \quad \gamma \in \mathbb{R}, \quad (1.2)$$

with the same order and efficiency index as that of Newton's method, for any value of parameter  $\gamma$  different from zero.

Cordero and Torregrosa [4] designed a family of fourth-order optimal derivative free without memory schemes, whose iterative expression is

$$\begin{cases} y_k = x_k - \frac{f(x_k)^2}{f(z_k) - f(x_k)}, \\ x_{k+1} = y_k - \frac{f(y_k)}{\frac{f(y_k) - \beta f(z_k)}{y_k - z_k} + \frac{f(y_k) - (1-\beta)f(x_k)}{y_k - x_k}}, \end{cases} \quad \beta \in \mathbb{R}, \quad (1.3)$$

where  $z_k = x_k + f(x_k)$ .

If we replace  $z_k$  by  $w_k = x_k + \gamma f(x_k)$ , including a real parameter  $\gamma$ , the resulting family has order of convergence four, for any non-zero value of  $\gamma$ ,

$$\begin{cases} y_k = x_k - \gamma \frac{f(x_k)^2}{f(w_k) - f(x_k)}, \\ x_{k+1} = y_k - \frac{f(y_k)}{\frac{f(y_k) - \beta f(w_k)}{y_k - w_k} + \frac{f(y_k) - (1-\beta)f(x_k)}{y_k - x_k}}, \end{cases} \quad \beta \in \mathbb{R}, \quad (1.4)$$

and its error equation is

$$e_{k+1} = (1 + \gamma f'(\alpha))^2 c_2 (c_2^2 - c_3) e_k^4 + O(e_k^5), \quad (1.5)$$

where  $e_k = x_k - \alpha$  and  $c_k = \frac{1}{k!} \frac{f^{(k)}(\alpha)}{f'(\alpha)}$ ,  $k = 2, 3, \dots$ . This family is denoted by CT.

This paper is organized as follows: in Section 2 the proposed iterative scheme is designed and its convergence order is proven. In Section 3 we analyze the stability and dynamical behavior of the rational functions associated to an element of the family applied on low degree polynomials and finally, numerical examinations and comparisons are presented in the last section.

## 2 Development of the method with memory

We can observe from (1.5) that the order of convergence of the family (1.4) is four when  $\gamma \neq -1/f'(\alpha)$ . This order could be increased taking  $\gamma = -1/f'(\alpha)$  but, in practice we have no information on the exact value of  $f'(\alpha)$ . So, we could use an approximation  $\tilde{f}'(\alpha)$  of  $f'(\alpha)$ , based on available information. Then, setting  $\gamma = -1/\tilde{f}'(\alpha)$  in (1.4), we achieve that the order of convergence of the modified methods exceeds four without using any new functional evaluation. An idea in constructing methods with memory consists of the calculation of the parameter  $\gamma = \gamma_k$  as the iteration proceeds by the formula  $\gamma_k = -1/\tilde{f}'(\alpha)$ ,  $k = 1, 2, \dots$

We consider the following accelerator for approximating  $\gamma_k$ :

$$\gamma_k = \frac{-1}{\tilde{f}'(\alpha)} = \frac{-1}{N'_3(x_k)}, \quad (2.1)$$

where

$$N_3(t) = N_3(t; x_k, y_{k-1}, w_{k-1}, x_{k-1}),$$

is Newton's interpolatory polynomial of third degree, set through four *best* available approximation (nodes)  $x_k, y_{k-1}, w_{k-1}$  and  $x_{k-1}$ . So,

$$\begin{aligned} N'_3(x_k) &= \left[ \frac{d}{dt} N_3(t) \right]_{t=x_k} \\ &= f[x_k, y_{k-1}] + f[x_k, x_{k-1}] - f[y_{k-1}, x_{k-1}] + (f[x_k, y_{k-1}, w_{k-1}] \\ &\quad - f[y_{k-1}, x_{k-1}, w_{k-1}])(x_k - y_{k-1}), \end{aligned}$$

where  $f[x, y]$  and  $f[x, y, z]$  are divided differences of order one and two, respectively. It should be noted that if one uses lower Newton's interpolation, lower accelerators are obtained.

It is assumed that the initial value  $\gamma_0$  should be chosen before starting the iterative process. Replacing the fixed parameter  $\gamma$  in the iterative family (1.4) by the varying  $\gamma_k$  one calculated by (2.1), the following derivative-free family of two-point methods with memory is achieved:

$$\begin{cases} \gamma_0 \text{ is given, } & w_k = x_k + \gamma_k f(x_k), & \gamma_k = -\frac{1}{N'_3(x_k)}, \\ y_k = x_k - \frac{f(x_k)}{f[w_k, x_k]}, \\ x_{k+1} = y_k - \frac{f(y_k)}{\frac{f(y_k) - \beta f(z_k)}{y_k - z_k} + \frac{f(y_k) - (1-\beta)f(x_k)}{y_k - x_k}} & \beta \in \mathbb{R}. \end{cases} \quad (2.2)$$

The methods of this family are denoted by CT6.

## 2.1 Convergence Analysis

In order to obtain the order of convergence of the family of two-point methods with memory (2.2), where  $\gamma_k$  is calculated by using (2.1), we will use the concept of the R-order of convergence introduced by Ortega and Rheinboldt [11]. Now we state the following convergence result.

**Theorem 1.** *If an initial approximation  $x_0$  is sufficiently close to the zero  $\alpha$  of  $f(x)$ , then the R-order of convergence of the two-point method (2.2), with  $\gamma_k$  calculated as (2.1), is at least 6.*

**Proof:** We will use Herzberger's matrix method (see [7]) to determine the R-order of convergence (see [6]). The lower bound of order of a single step 4-point method

$$x_k = G(x_{k-1}, x_{k-2}, x_{k-3}, x_{k-4})$$

is the spectral radius of a  $4 \times 4$  real matrix  $M = (m_{i,j})$ , associated to this method, with elements

- $m_{1,j} =$  amount of information required at point  $x_{k-j}$ ,  $j = 1, 2, 3, 4$ ;
- $m_{i,i-1} = 1$ ,  $i = 2, 3, 4$ ;
- $m_{i,j} = 0$ , otherwise.

The lower bound of order of a 3-step method  $G = G_1 \circ G_2 \circ G_3$  is the spectral radius of the product of matrices  $M = M_1 M_2 M_3$ .

We can express each approximation  $x_{k+1}$ ,  $y_k$ , and  $w_k$  as a function of available information  $f(y_k)$ ,  $f(w_k)$  and  $f(x_k)$  from the  $k$ th iteration and  $f(y_{k-1})$ ,  $f(w_{k-1})$  and  $f(x_{k-1})$  from the previous iteration, depending on the accelerating technique. According to the relations (2.2) and (2.1) we form the respective matrices. More details and illustrations about the construction of Herzberger's matrices can be found in [10].

We use the following matrices to express informational dependence:

$$\begin{aligned}
 x_{k+1} = G_1(y_k, w_k, x_k, y_{k-1}); \quad M_1 &= \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}, \\
 y_k = G_2(w_k, x_k, y_{k-1}, w_{k-1}); \quad M_2 &= \begin{bmatrix} 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}, \\
 w_k = G_3(x_k, y_{k-1}, w_{k-1}, x_{k-1}); \quad M_3 &= \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}.
 \end{aligned}$$

The matrix  $M$  corresponding to the multi-point method CT6 is

$$M = M_1 M_2 M_3 = \begin{bmatrix} 4 & 2 & 2 & 2 \\ 2 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \end{bmatrix}$$

an its eigenvalues are  $(6, 0, 0, 0)$ . Since the spectral radius of the matrix  $M$  is 6, we conclude that the R-order of the methods with memory CT6 is at least six.  $\square$

Let us remark that the obtained R-order suppose a 50% improvement of the order of convergence of the family without memory (1.4).

### 3 Dynamical behavior

From the numerical point of view, the dynamical behavior of the rational function associated with an iterative method give us important information about its stability and reliability. In these terms, Varona in [17] and Amat et al. in [1], among others, described the dynamical behavior of several iterative methods. In [3], a deep dynamical study was made of some derivative-free iterative schemes, specifically Steffensen's method.

We are going to recall now some dynamical concepts of complex dynamics (see [2]) that we use in this work. Given a rational function  $R : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ , where  $\hat{\mathbb{C}}$  is the Riemann sphere, the *orbit of a point*  $z_0 \in \hat{\mathbb{C}}$  is defined as:

$$\{z_0, R(z_0), R^2(z_0), \dots, R^n(z_0), \dots\}.$$

We analyze the phase plane of the map  $R$  by classifying the starting points from the asymptotic behavior of their orbits. A  $z_0 \in \hat{\mathbb{C}}$  is called a *fixed point* if  $R(z_0) = z_0$ . Moreover, a fixed point  $z_0$  is called *attractor* if  $|R'(z_0)| < 1$ , *superattractor* if  $|R'(z_0)| = 0$ , *repulsor* if  $|R'(z_0)| > 1$  and *parabolic* if  $|R'(z_0)| = 1$ . Then, the *basin of attraction* of an attractor  $\alpha$  is defined as:

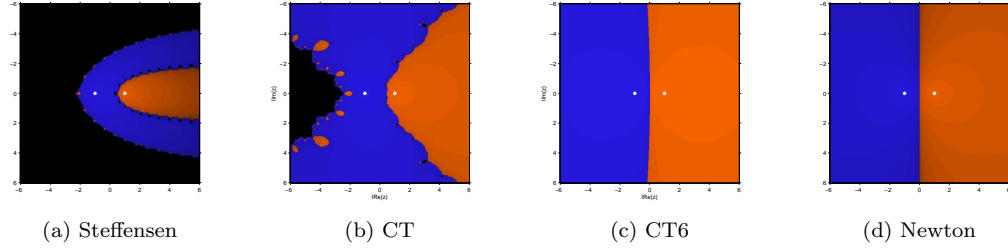
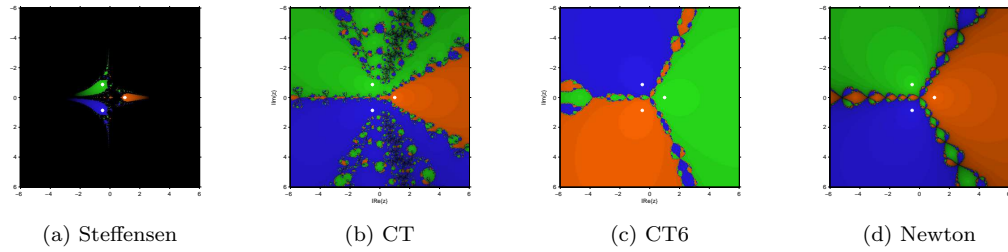
$$\mathcal{A}(\alpha) = \{z_0 \in \hat{\mathbb{C}} : R^n(z_0) \rightarrow \alpha, n \rightarrow \infty\}.$$

Also, it is well-known that the basin of attraction of any fixed point belongs to the so called *Fatou set* and the boundaries of these basins of attraction are the *Julia set*.

In the following, we study the stability properties of the proposed methods for low-degree polynomials. In fact, we obtain the dynamical planes associated to the rational function obtained when the method is applied on a given polynomial  $p(z)$ . These planes are obtained in the following way: in the rectangle  $[-6, 6] \times [-6, 6]$  of the complex plane, a mesh of  $400 \times 400$  initial estimations is defined. If the sequence generated by the iterative method reaches a zero of the polynomial (superattracting fixed point) with an error estimation lower than  $10^{-3}$  and a maximum of 40 iterations, we decide that the initial point is in the basin of attraction of these zero and we paint it in a color previously selected for this root. In the same basin of attraction, the number of iterations needed to achieve the solution is showed in darker or brighter colors. Black color denotes lack of convergence to any of the roots (with the maximum of iterations established) or convergence to the infinity.

For Steffensen's and proposed methods, it can be proved that an Scaling Theorem is not satisfied. So, we must study the dynamics of the schemes on specific polynomials. The behavior of each method is analyzed on three different polynomials:  $p_2(z) = z^2 - 1$ ,  $p_3(z) = z^3 - 1$  and  $p_4(z) = z^4 - 1$ . The dynamical planes for Steffensen, CT (for  $\beta = 1$ ,  $\gamma = 1$ ), CT6 (for  $\beta = 1$ ,  $\gamma_0 = -0.01$ ) and Newton's schemes are showed in Figures 1 to 3.

Let us note that the widest black regions of Figures 2a and 3a correspond the basin of the infinity in Steffensen's method. This basin of the infinity does not exist in the other dynamical

Figure 1: Dynamical planes of different schemes on  $p_2(z) = z^2 - 1$ .Figure 2: Dynamical planes of different schemes on  $p_3(z) = z^3 - 1$ .

planes (except for CT method on  $p_2(z)$ ). Although the behavior of CT scheme is much more stable than Steffensen's one, it is highly improved when memory factor is introduced (CT6 method). In fact, it can be observed that they "tend" to the Newton's dynamical planes, for each polynomial.

#### 4 Numerical results

The described process for designing CT6 can be applied on other fourth-order methods depending on parameter  $\gamma$  and with a factor in the term of  $e^4$  in the error equation such as  $1 + \gamma f'(\alpha)$ . For example, we have selected two families of methods of order four displayed below.

Derivative-free Kung-Traub's family, [9], denoted by KT,

$$\begin{cases} y_k = x_k - \frac{f(x_k)}{f[x_k, w_k]}, & w_k = x_k + \gamma f(x_k), \quad \gamma \neq 0 \\ x_{k+1} = y_k - \frac{f(y_k)f(w_k)}{(f(w_k) - f(y_k))f[x_k, y_k]}, \end{cases} \quad (4.1)$$

whose error equation is

$$e_{k+1} = (1 + \gamma f'(\alpha))^2 (2c_2^3 - c_2 c_3) e_k^4 + O(e_k^5),$$

Derivative-free Soleymani et al. method, [14], denoted by SSLT,

$$\begin{cases} y_k = x_k - \frac{f(x_k)}{f[x_k, w_k]}, & w_k = x_k + \gamma f(x_k), \quad \gamma \neq 0 \\ x_{k+1} = x_k - \frac{f(x_k) + f(y_k)}{f[x_k, w_k]} - \left( \frac{2f(x_k) + af(y_k)}{f[x_k, w_k]} \left( \frac{f(y_k)}{f(x_k)} \right)^2 \right) \left( 1 - \frac{\gamma f[x_k, w_k]}{2 + 2\gamma f[x_k, w_k]} \right). \end{cases} \quad (4.2)$$

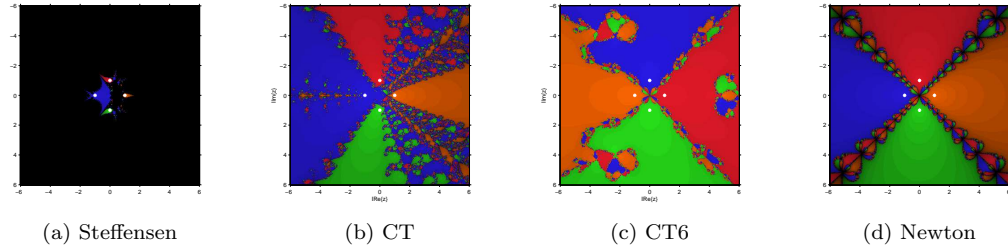


Figure 3: Dynamical planes of different schemes on  $p_4(z) = z^4 - 1$ .

Its error equation is

$$e_{k+1} = -\frac{1}{2}(c_2(1 + \gamma f'(\alpha))(2c_3(1 + \gamma f'(\alpha)) + c_2^2(a(1 + \gamma f'(\alpha))(2 + \gamma f'(\alpha)) - 2(5 + \gamma f'(\alpha)(5 + \gamma f'(\alpha))))))e_k^4 + O(e_k^5).$$

If we replace in these methods  $\gamma$  in a similar way as in Section 2, we obtain a derivative-free schemes with memory and order of convergence six in case of Kung-Traub's method (which is denoted by KT6), and order 5.2 in Soleymani et al. scheme, as its error equation has not the common factor  $(1 + \gamma f'(\alpha))^2$ . It is denoted by SSLT5.

In the following we will compare the numerical results obtained by the proposed sixth-order schemes with memory with the ones used as starting methods, that is, CT, KT and SSLT.

The errors  $|x_k - \alpha|$  of approximations to the sought zeros, produced by the different methods, are given in Tables 1-5, where  $A(-h)$  stands for  $A \times 10^{-h}$ . In Table 2, *nc* means that the corresponding method does not converge. These tables include the values of the computational order of convergence  $r_c$  computed by the expression (see [8])

$$r_c = \frac{\log(|f(x_k)/f(x_{k-1})|)}{\log(|f(x_{k-1})/f(x_{k-2})|)}.$$

The software Mathematica 8, with 2000 arbitrary precision arithmetic has been used in our computations. The results alongside the test functions are given in Tables 1-5, while  $\gamma = \gamma_0 = -0.01$  in the schemes without memory,  $\beta = 1$  in the family CT, and  $a = 5$  in the family SSLT.

The last example corresponds to a non-smooth function. In this case the results obtained are similar to those of smooth functions.

## 5 Conclusion

We provide a new derivative-free iterative method with memory, whose efficiency index is  $6^{1/3} \approx 1.8$ , which is greater even than optimal sixteen methods without memory, with efficiency index  $16^{1/5} \approx 1.7$ . Under the dynamical point of view, method with memory are much more stable than the original ones. Moreover, the used technique can be extended to many methods

Table 1:  $f(x) = \prod_{i=1}^5(x-i)$ ,  $\alpha = 2$ ,  $x_0 = 1.5$ ,  $\gamma_0 = -0.01$ 

method	$ x_1 - \alpha $	$ x_2 - \alpha $	$ x_3 - \alpha $	$r_c$
CT without memory	1.317(-1)	8.725(-4)	8.251(-13)	4.253
CT6 with memory	1.317(-1)	3.376(-6)	2.354(-34)	6.209
KT without memory	1.453(-1)	2.700(-3)	1.086(-10)	4.439
KT6 with memory	1.453(-1)	1.525(-5)	6.943(-30)	6.217
SSLT without memory	2.514(-3)	2.655(-11)	0.000	-
SSLT5 with memory	2.514(-3)	1.866(-12)	9.905(-60)	4.999

Table 2:  $f(x) = (x-1)^3 - 1$ ,  $\alpha = 2$ ,  $x_0 = 1.5$ ,  $\gamma_0 = -0.01$ 

method	$ x_1 - \alpha $	$ x_2 - \alpha $	$ x_3 - \alpha $	$r_c$
CT without memory	1.393(-1)	1.708(-4)	5.341(-16)	3.873
CT6 with memory	1.393(-1)	2.884(-6)	3.840(-34)	5.877
KT without memory	4.630(-1)	1.834(-2)	1.667(-7)	3.195
KT6 with memory	4.630(-1)	2.485(-3)	3.884(-16)	5.216
SSLT without memory	<i>nc</i>	<i>nc</i>	<i>nc</i>	-
SSLT5 with memory	<i>nc</i>	<i>nc</i>	<i>nc</i>	-

Table 3:  $f(x) = e^{x^2+x \cos x-1} \sin x + \log(x^2+1)$ ,  $\alpha = 0$ ,  $x_0 = 0.35$ ,  $\gamma_0 = -0.01$ 

method	$ x_1 - \alpha $	$ x_2 - \alpha $	$ x_3 - \alpha $	$r_c$
CT without memory	5.817(-3)	3.180(-9)	2.470(-34)	4.006
CT6 with memory	5.817(-3)	1.627(-17)	5.902(-100)	5.667
KT without memory	5.701(-3)	5.220(-9)	3.881(-33)	3.999
KT6 with memory	5.701(-3)	5.733(-16)	4.482(-96)	5.653
SSLT without memory	4.262(-2)	9.507(-5)	3.430(-16)	4.213
SSLT5 with memory	4.262(-2)	1.408(-5)	1.055(-22)	5.000

Table 4:  $f(x) = |x^2 - 9|$ ,  $\alpha = 3$ ,  $x_0 = 2.8$ ,  $\gamma_0 = -0.01$ 

method	$ x_1 - \alpha $	$ x_2 - \alpha $	$ x_3 - \alpha $	$r_c$
CT without memory	1.639(-2)	2.918(-10)	2.964(-41)	3.999
CT6 with memory	1.639(-2)	5.658(-12)	4.221(-72)	6.354
KT without memory	1.637(-2)	5.800(-10)	9.260(-40)	3.999
KT6 with memory	1.637(-2)	1.123(-11)	5.164(-70)	6.365
SSLT without memory	1.640(-2)	5.521(-11)	0.000	-
SSLT5 with memory	1.640(-2)	4.511(-11)	0.441(-55)	5.000



Table 5:  $f(x) = |x^2 - 9|$ ,  $\alpha = -3$ ,  $x_0 = -10$ ,  $\gamma_0 = -0.01$ 

method	$ x_1 - \alpha $	$ x_2 - \alpha $	$ x_3 - \alpha $	$r_c$
CT without memory	0.621	5.206(-4)	3.821(-16)	3.890
CT6 with memory	6.207(-1)	4.076(-6)	5.894(-37)	5.902
KT without memory	0.799	2.290(-3)	2.857(-13)	3.813
KT6 with memory	7.999(-1)	2.974(-5)	1.780(-31)	5.848
SSLT without memory	0.733	7.945(-4)	0.000	-
SSLT5 with memory	0.733	9.517(-5)	6.025(-24)	5.000

of order four, depending on the expression of the error equation. Numerical test are made that confirm the theoretical results.

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