

Depth of some special monomial ideals *

by

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*Dedicated to Professors Toma Albu and Constantin Năstăsescu
on the occasion of their 70th birthdays*

Abstract

Let $I \supsetneq J$ be two squarefree monomial ideals of a polynomial algebra over a field. Suppose that I is generated by one squarefree monomial of degree $d > 0$, and other squarefree monomials of degrees $\geq d + 1$. If the Stanley depth of I/J is $\leq d + 1$ then almost always the usual depth of I/J is $\leq d + 1$ too.

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Introduction

Let $S = K[x_1, \dots, x_n]$ be the polynomial algebra in n variables over a field K and $I \supsetneq J$ two squarefree monomial ideals of S . Suppose that I is generated by squarefree monomials of degrees $\geq d$ for some positive integer d . Modulo a multigraded isomorphism we may assume either that $J = 0$, or J is generated in degrees $\geq d + 1$. Then $\text{depth}_S I/J \geq d$ (see [1, Proposition 3.1], [3, Lemma 1.1]) and upper bounds are given by Stanley's Conjecture if it holds. Finding such upper bounds is the subject of several papers [2], [3], [4], [7], [5]. We remind below the notion of Stanley depth.

Let $P_{I \setminus J}$ be the poset of all squarefree monomials of $I \setminus J$ with the order given by the divisibility. Let \mathcal{P} be a partition of $P_{I \setminus J}$ in intervals $[u, v] = \{w \in P_{I \setminus J} : u|w, w|v\}$, let us say $P_{I \setminus J} = \cup_i [u_i, v_i]$, the union being disjoint. Define $\text{sdepth } \mathcal{P} = \min_i \deg v_i$ and the *Stanley depth* of I/J given by $\text{sdepth}_S I/J = \max_{\mathcal{P}} \text{sdepth } \mathcal{P}$, where \mathcal{P} runs over the set of all partitions of $P_{I \setminus J}$ (see [1], [8]). Stanley's Conjecture says that $\text{sdepth}_S I/J \geq \text{depth}_S I/J$.

Let r be the number of squarefree monomials of degree d of I and B (resp. C) be the set of squarefree monomials of degrees $d + 1$ (resp. $d + 2$) of $I \setminus J$. Set $s = |B|$, $q = |C|$. If either

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$s > r + q$, or $r > q$, or $s < 2r$ then $\text{sdepth}_S I/J \leq d + 1$ and if Stanley’s Conjecture holds then any of these numerical conditions would imply $\text{depth}_S I/J \leq d + 1$, independently of the characteristic of K . In particular this was proved directly in [4] and [7].

Suppose that $r = 1$. If $d = 1$ we showed in [5, Theorem 1.10] that almost always Stanley’s Conjecture holds. It is the purpose of this note to complete the result for $d \geq 1$ in the next form.

Theorem *Suppose that $I \subset S$ is minimally generated by a squarefree monomial f of degree d , and a set E of squarefree monomials of degrees $\geq d + 1$. Assume that $s \neq q + 1$ and $\text{depth}_S I/J = d + 1$. Then $\text{depth}_S I/J \leq d + 1$.*

1 Proof of the Theorem

We may assume that $s < q + 1$ because if $s > q + 1$ then by [4] we get $\text{depth}_S I/J \leq d + 1$. Also we may suppose that $C \subset (f, B)$ by [5, Lemma 1.6]. Induct on $|E|$. Suppose that $E = \emptyset$. If $C = \emptyset$, then $\text{depth}_S I/J \leq d + 1$ by [5, Lemma 1.5]. Otherwise, let $c = fx_{n-1}x_n \in C$ and $I' = (B \setminus \{fx_{n-1}, fx_n\})$. In the exact sequence

$$0 \rightarrow I'/J \cap I' \rightarrow I/J \rightarrow I/J + I' \rightarrow 0$$

the last term has $\text{sdepth } d + 2$ since $c \notin I' + J$ and so the first one has $\text{sdepth} \leq d + 1$ by [6, Lemma 2.2] and even $\text{depth} \leq d + 1$ by [3, Theorem 4.3]. Then the Depth Lemma gives $\text{depth}_S I/J \leq d + 1$.

Set $I_n = (B \setminus \{fx_n\})$, $J_n = I_n \cap J$. In the following exact sequence

$$0 \rightarrow I_n/J_n \rightarrow I/J \rightarrow I/(I_n + J) \rightarrow 0$$

the last term has $\text{sdepth } d + 1$ since $[f, fx_n]$ is the whole poset of $(f)/(f) \cap (I_n + J)$ and $x_n \notin ((J + I_n) : f)$. If the first term has $\text{sdepth} = d + 1$ then by [[3], Theorem 4.3.] we get $\text{depth} = d + 1$ and applying Depth Lemma the conclusion follows. So we can assume that there exists a partition \mathcal{P}_n of I_n/J_n with $\text{sdepth } d + 2$. We may suppose that all intervals of \mathcal{P}_{b_i} (as well as of other partitions which we will use) starting with a monomial v of degree $\geq d + 2$ have the form $[v, v]$. In \mathcal{P}_n we can’t have the interval $[c, c]$, $c = fx_{n-1}x_n$, or the interval $[fx_{n-1}, c]$ because otherwise we can switch it with $[f, c]$ and get a partition of I/J with $\text{sdepth } d + 2$. Thus we have in \mathcal{P}_n the interval $[b_1, c]$, $b_1 \in E$. Switching the interval $[b_1, c]$ with the interval $[fx_n, c]$ we get a partition $\mathcal{P}_{B_{b_1}}$ for $(B_{b_1})/J_{b_1}$ where $B_{b_1} = B \setminus \{b_1\}$ and $J_{b_1} = (B_{b_1}) \cap J$.

In $\mathcal{P}_{B_{b_1}}$ we have an interval $[\bar{c}, \bar{c}]$ because $s < q + 1$. Thus there exists $b_2 \in E$ such that $\bar{c} \in (b_2)$. Note that $\bar{c} \notin (b_1)$ because otherwise we may replace in $\mathcal{P}_{B_{b_1}}$ the interval $[\bar{c}, \bar{c}]$ with the interval $[b_1, \bar{c}]$ and get a partition \mathcal{P}_B for $(B)/(B) \cap J$ with $\text{sdepth} = d + 2$. This leads to a contradiction because we may change in \mathcal{P}_B two intervals like $[fx_i, fx_ix_j]$, $[fx_j, c']$ for some c' with $[f, fx_ix_j]$, $[c', c']$ and get a partition \mathcal{P} for I/J with $\text{sdepth } d + 2$.

Let $I_{b_2} = (f, E \setminus \{b_2\})$, $J_{b_2} = I_{b_2} \cap J$. In the following exact sequence

$$0 \rightarrow I_{b_2}/J_{b_2} \rightarrow I/J \rightarrow I/(I_{b_2} + J) \rightarrow 0$$

the last term has $\text{depth} \geq d + 1$ because it is isomorphic with $(b_2)/(b_2) \cap (I_{b_2} + J)$. If $\text{sdepth } I_{b_2}/J_{b_2} \leq d + 1$ then the first term has $\text{depth} \leq d + 1$ by the induction hypothesis, so by the Depth Lemma we get $\text{depth } I/J \leq d + 1$.

Now assume that $\text{sdepth } I_{b_2}/J_{b_2} \geq d + 2$ and let P_{b_2} be a partition on I_{b_2}/J_{b_2} with $\text{sdepth } d + 2$. In \mathcal{P}_{b_2} we have the interval $[f, fx_ix_j], i, j \in [n] \setminus \text{supp} f$. We have in \mathcal{P}_{b_2} for all $b \in B \setminus \{b_2, fx_i, fx_j\}$ an interval $[b, c_b]$. We define $h_2 : (B \setminus \{b_2\}) \rightarrow C$ by $b \rightarrow c_b$ and $h_2(fx_i) = h_2(fx_j) = fx_ix_j$ and let $g_2 : \text{Im } h_2 \rightarrow (B \setminus \{b_2\})$ defined by $c_b \rightarrow b, g_2(fx_ix_j) = fx_i$. Similarly we define h_1, g_1 for $\mathcal{P}_{B_{b_1}}$, that is h_1 is given by $b' \rightarrow c'$ if $\mathcal{P}_{B_{b_1}}$ has the interval $[b', c']$.

We want to show that we can build a partition \mathcal{P} with $\text{sdepth} = d + 2$ for I/J . Consider $a_0 = b_1$ and $c_{i-1} = h_2(a_{i-1}), a_i = g_1(c_{i-1}), i > 0$. The construction stops at step e if

- 1) $a_e = b_2,$
- 2) $c_e \notin \text{Im } h_1,$

3) $a_e = fx_j$ after $a_u = fx_i, u < e$ already appeared. Note that here we have fixed $a_u = fx_i$.

In the first case we set $c_e = \bar{c}$ and we see that h_1 gives a bijection between $\{a_1, \dots, a_e\}$ and $\{c_0, \dots, c_{e-1}\}$. But h_1 also gives a bijection between $B \setminus \{b_1, a_1, \dots, a_e\}$ and $C \setminus \{\bar{c}, c_0, \dots, c_{e-1}\}$. Then the intervals $[a_p, c_p], 0 \leq p \leq e$ and the intervals $[g_1(\bar{c}), \bar{c}], \bar{c} \in C \setminus \{\bar{c}, c_0, \dots, c_{e-1}\}$ and some other intervals starting with monomials of degree $\geq d + 2$ give a partition \mathcal{P}_B of $P_{B/B \cap J}$ with $\text{sdepth} \geq d + 2$. As before this is a contradiction with $\text{sdepth } I/J = d + 1$.

In the second case, as above we see that the intervals $[a_p, c_p], 0 \leq p \leq e$ and the intervals $[g_1(\bar{c}), \bar{c}], \bar{c} \in C \setminus \{\bar{c}, c_0, \dots, c_{e-1}\}$ and some other intervals starting with monomials of degree $\geq d + 2$ give a partition \mathcal{P}_B of $P_{B/B \cap J}$ with $\text{sdepth} \geq d + 2$. Contradiction.

In the last case we see as usual, that h_1 gives a bijection between $\{a_1, \dots, a_e\}$ and $\{c_0, \dots, c_{e-1}\}$. But h_1 also gives a bijection between $B \setminus \{b_1, a_1, \dots, a_e\}$ and $C \setminus \{c_0, \dots, c_{e-1}\}$. Then the intervals $[a_p, c_p], 0 \leq p \leq e - 1, p \neq u$ and the intervals $[f, c_u], [g_1(\bar{c}), \bar{c}], \bar{c} \in C \setminus \{c_0, \dots, c_{e-1}\}$ and some other intervals starting with monomials of degree $\geq d + 2$ give the partition \mathcal{P} of $P_{I/J}$ with $\text{sdepth} \geq d + 2$. Contradiction.

Example 1.1 Let $n = 5, I = (x_1x_2, x_3x_4x_5)$ and $J = (x_1x_2x_3x_5, x_1x_2x_4x_5)$. We see that we have $\text{sdepth } I/J = d + 1 = 3$ and $B = \{x_1x_2x_3, x_1x_2x_4, x_1x_2x_5, x_3x_4x_5\}$ and $C = \{x_1x_2x_3x_4, x_1x_3x_4x_5, x_2x_3x_4x_5\}$ so we are in the case $s = q + 1$. We can get $\text{depth } I/J \leq 3$ by using [5, Lemma 1.5] for $u = x_1x_2x_5$.

Remark 1.2 If in the above example change just one monomial from the generators of J , namely take $J = (x_1x_2x_4x_5, x_2x_3x_4x_5)$ then we have $\text{sdepth}_S I/J = 4$ because the partition induced by the intervals $[x_1x_2, x_1x_2x_3x_4], [x_3x_4x_5, x_1x_3x_4x_5], [x_1x_2x_5, x_1x_2x_3x_5]$ has $\text{sdepth } d + 2 = 4$. Also we have $\text{depth}_S I/J = 4$.

A question is hinted by the following example.

Example 1.3 Let $n = 5, I = (x_1, x_2x_3, x_2x_4, x_2x_5, x_3x_4)$ and J the ideal generated by all squarefree monomials of I of degrees 4. Then $E = \{x_2x_3, x_2x_4, x_2x_5, x_3x_4\}, f = x_1, B = \{x_1x_2, x_1x_3, x_1x_4, x_1x_5, E\}, C = \{x_1x_2x_3, x_1x_2x_4, x_1x_2x_5, x_1x_3x_4, x_2x_3x_4, x_2x_3x_5, x_2x_4x_5\}$. Thus $s = 8 = q + 1$. We see that $\text{sdepth}_S I/J = d + 2 = 3$ but $\text{depth}_S I/J = d + 1 = 2$. Note that here

$$C \subset (\cup_{a \in E} C \cap (f) \cap (a)) \cup (\cup_{a, a' \in E, a \neq a'} C \cap (a) \cap (a')),$$

a condition which might imply always $\text{depth}_S I/J \leq d + 1$, the inequality being not true for s depth.

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